GAICC

# ISO/IEC 42001 Lead Implementer

## Examination Content Outline

This Examination Content Outline defines what the ISO/IEC 42001 Lead Implementer examination assesses. Every scored item on every form traces to a task listed here. Candidates should treat it as the definitive statement of scope; anything not derivable from this outline is not examined.

| Field | Value |
|---|---|
| **Document ID** | GAICC-ECO-LI42001-001 |
| **Version** | 1.2 |
| **Issued** | 30 October 2026 |
| **Credential** | ISO/IEC 42001 Lead Implementer |
| **Subject standard** | ISO/IEC 42001:2023, First edition, 2023-12 |
| **Scored items** | 60 |
| **Time allowed** | 90 minutes |
| **Pass mark** | 70% |
| **Scheme document** | GAICC-SCH-LI42001-001 |
| **Fact authority** | GAICC-QMS-FR-42001-001 (Standard Fact Register v1.0) |

**This document contains no fee information.** Examination and membership fees are published in the Candidate Handbook and on certifications.gaicc.org, which is the single source of truth for fees. Training prices never appear in any GAICC certification document.

# 1. How to use this outline

The outline is organised into domains. Each domain contains tasks, and each task is supported by enablers that describe the knowledge required to perform it.

| Element | What it means |
|---|---|
| **Domain** | A major area of competence. Its weighting fixes how many items it contributes to a form. |
| **Task** | Something the certified person can do. Items are written to tasks, never to topics in the abstract. |
| **Enabler** | The knowledge or evidence that makes the task performable. Enablers are illustrative, not exhaustive. |
| **Clause reference** | The ISO/IEC 42001:2023 clauses and Annex A controls the task draws on. |

An item may address more than one enabler, but it is tagged to exactly one domain and one task for blueprint purposes.

# 2. Domains and weightings

| Domain | Title | Weighting | Items |
|---|---|---|---|
| **I** | Governance and Leadership in AI Management Systems | 25% | 15 |
| **II** | Implementation and Operational Control of the AIMS | 40% | 24 |
| **III** | Performance, Audit Readiness and Continual Improvement | 20% | 12 |
| **IV** | Ethics, Transparency and External Engagement | 15% | 9 |
| | TOTAL | 100% | 60 |

## 2.1 Cognitive depth

Items are distributed across cognitive levels as follows. This distribution reflects what the credential asserts about its holder: design and execution. The learner must be able to build and operate a conforming AI management system: scope it, plan it, produce the documented information, run the processes, and take it to certification. Every Foundation module is rewritten from the implementer's seat, replacing description with method, worked artifacts and decision criteria.

| Cognitive level | Share | Items |
|---|---|---|
| **Remember** | 5% | 3 |
| **Understand** | 15% | 9 |
| **Apply** | 35% | 21 |
| **Analyse** | 30% | 18 |
| **Evaluate** | 15% | 9 |

**Difficulty.** moderate to very-hard, centre of gravity hard. The permitted difficulty vocabulary is easy, moderate, hard and very-hard.

# 3. Domains, tasks and enablers

## Domain I. Governance and Leadership in AI Management Systems

| Property | Value |
|---|---|
| **Weighting** | 25% (approximately 15 items) |
| **Curriculum modules** | M1, M2, M4, M5 |
| **Clauses covered** | 1, 4, 4.1, 4.2, 4.3, 4.4, 5, 5.1, 5.2, 5.3 |
| **Annex A coverage** | A.2, A.3 |

**Task I.1** Construct the business case for an AIMS for a given organisation, including cost, benefit and risk of inaction

Module M1 | Clauses 1

**Task I.2** Diagnose which organisational failures an AIMS will and will not fix

Module M1 | Clauses 1

**Task I.3** Select the certification scope that best serves the organisation's commercial and regulatory drivers

Module M1 | Clauses 1

**Task I.4** Sequence AIMS adoption against existing management system commitments

Module M1 | Clauses 1

**Task I.5** Plan the certification pathway including readiness checkpoints and evidence milestones

Module M2 | Not clause specific

**Task I.6** Assemble the evidence pack a Stage 1 audit will request

Module M2 | Not clause specific

**Task I.7** Diagnose common causes of Stage 1 delay and design them out in advance

Module M2 | Not clause specific

**Task I.8** Draft a corrective action response that will close a nonconformity first time

Module M2 | Not clause specific

**Task I.9** Produce a context analysis that satisfies Clause 4.1 including the climate change determination

Module M4 | Clauses 4, 4.1, 4.2, 4.3, 4.4

**Task I.10** Build an interested party register with requirements traced to obligations

Module M4 | Clauses 4, 4.1, 4.2, 4.3, 4.4

**Task I.11** Draft a defensible scope statement and justify every boundary and exclusion

Module M4 | Clauses 4, 4.1, 4.2, 4.3, 4.4

**Task I.12** Map the organisation's AI roles per system and derive applicability from them

Module M4 | Clauses 4, 4.1, 4.2, 4.3, 4.4

**Task I.13** Draft an AI policy that satisfies Clause 5.2 and control A.2.2 without restating the standard

Module M5 | Clauses 5, 5.1, 5.2, 5.3 | Annex A.2, A.3

**Task I.14** Design a governance structure with a defensible allocation of responsibility and authority

Module M5 | Clauses 5, 5.1, 5.2, 5.3 | Annex A.2, A.3

**Task I.15** Implement a concern reporting route that satisfies control A.3.3 and will withstand audit

Module M5 | Clauses 5, 5.1, 5.2, 5.3 | Annex A.2, A.3

**Task I.16** Establish the policy review cycle required by control A.2.4

Module M5 | Clauses 5, 5.1, 5.2, 5.3 | Annex A.2, A.3

## Enablers

- What problem an AI management system solves that existing controls do not
- Scope of ISO/IEC 42001 (Clause 1) and what it deliberately excludes
- Why AI needs a management system: opacity, drift, data dependence, autonomy, distributed accountability
- The management system family and the harmonised structure (formerly Annex SL)
- The regulatory and assurance landscape the standard sits inside
- How management system certification works: accreditation, certification body, audit stages
- ISO/IEC 42006 and requirements for bodies auditing AI management systems
- Stage 1 and Stage 2 audits, surveillance and recertification
- Nonconformity grading and corrective action
- The distinction between organisational certification and personnel certification
- Clause 4.1 external and internal issues, including the climate change determination
- Clause 4.2 interested parties and their relevant requirements
- Clause 4.3 determining the scope of the AI management system
- Clause 4.4 the AI management system itself and its processes
- AI roles as NOTE 1 to Clause 4.1 lists them: AI provider, AI producer, AI customer (including AI user), AI partner, AI subject, relevant authority
- How role determines which requirements and controls apply
- Clause 5.1 leadership and commitment
- Clause 5.2 the AI policy
- Clause 5.3 roles, responsibilities and authorities
- Controls A.2.2 AI policy, A.2.3 alignment with other organisational policies, A.2.4 review of the AI policy
- Controls A.3.2 AI roles and responsibilities, A.3.3 reporting of concerns
- The governing body (3.22) and its relationship to top management (3.3)

## Forward looking enablers

*These are examined at awareness level and are reviewed at each scheme review so the credential does not go stale.*

- Procurement and supply chain pressure as an adoption driver independent of regulation
- Positioning the AIMS to absorb future obligations without redesign
- How ISO/IEC 42006 shapes what auditors will be competent to probe
- Integrated audits where the AIMS is certified alongside other management systems

- Scoping where the organisation is simultaneously provider and deployer of different systems
- Handling systems that change role mid lifecycle, such as a fine tuned third party model
- Aligning the AI policy with existing information security and privacy policy sets under control A.2.3 without creating contradictions
- Governance for systems that act with delegated authority

## Domain II. Implementation and Operational Control of the AIMS

| Property | Value |
|---|---|
| **Weighting** | 40% (approximately 24 items) |
| **Curriculum modules** | M3, M8, M9, M10, M11 |
| **Clauses covered** | 2, 3, 6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3, 7, 7.1, 7.2, 7.3, 7.4, 7.5, 8.1, 8, 8.2, 8.3, 8.4 |
| **Annex A coverage** | A, B, C, D, A.5, A.4, A.6.2.3, A.6.2.7, A.6.2.8, A.8, A.6, A.7 |

**Task II.1** Navigate from a business question to the clause or control that governs it

Module M3 | Clauses 2, 3 | Annex A, B, C, D

**Task II.2** Apply the correct defined term in documented information, distinguishing terms that are colloquially interchangeable

Module M3 | Clauses 2, 3 | Annex A, B, C, D

**Task II.3** Use Annex B implementation guidance correctly, recognising it as normative

Module M3 | Clauses 2, 3 | Annex A, B, C, D

**Task II.4** Construct a clause to artifact map for an implementation

Module M3 | Clauses 2, 3 | Annex A, B, C, D

**Task II.5** Define AI risk criteria and a repeatable risk assessment process meeting Clause 6.1.2

Module M8 | Clauses 6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3 | Annex A.5

**Task II.6** Produce a risk treatment plan and a Statement of Applicability covering all 38 Annex A controls with justification for inclusion or exclusion

Module M8 | Clauses 6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3 | Annex A.5

**Task II.7** Apply Clause 6.1.3 b) to verify no necessary control has been omitted

Module M8 | Clauses 6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3 | Annex A.5

**Task II.8** Set measurable AI objectives under Clause 6.2 and plan changes under Clause 6.3

Module M8 | Clauses 6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3 | Annex A.5

**Task II.9** Determine and document the resources an AIMS requires under Clause 7.1 and controls A.4.2 to A.4.6

Module M9 | Clauses 7, 7.1, 7.2, 7.3, 7.4, 7.5 | Annex A.4

**Task II.10** Build a competence framework for AI roles and evidence it under Clause 7.2

Module M9 | Clauses 7, 7.1, 7.2, 7.3, 7.4, 7.5 | Annex A.4

**Task II.11** Design an awareness programme that changes behaviour rather than records attendance

Module M9 | Clauses 7, 7.1, 7.2, 7.3, 7.4, 7.5 | Annex A.4

**Task II.12** Establish documented information controls satisfying Clause 7.5

Module M9 | Clauses 7, 7.1, 7.2, 7.3, 7.4, 7.5 | Annex A.4

**Task II.13** Build the documented information set the standard requires, without inventing documents it does not

Module M10 | Clauses 7.5, 8.1 | Annex A.6.2.3, A.6.2.7, A.6.2.8, A.8

**Task II.14** Produce AI system technical documentation satisfying control A.6.2.7 for a defined audience

Module M10 | Clauses 7.5, 8.1 | Annex A.6.2.3, A.6.2.7, A.6.2.8, A.8

**Task II.15** Design event logging that satisfies control A.6.2.8 and supports later reconstruction

Module M10 | Clauses 7.5, 8.1 | Annex A.6.2.3, A.6.2.7, A.6.2.8, A.8

**Task II.16** Establish external reporting and incident communication routes per controls A.8.3 and A.8.4

Module M10 | Clauses 7.5, 8.1 | Annex A.6.2.3, A.6.2.7, A.6.2.8, A.8

**Task II.17** Implement lifecycle controls A.6.2.2 to A.6.2.8 across development, verification, deployment and operation

Module M11 | Clauses 8, 8.1, 8.2, 8.3, 8.4 | Annex A.6, A.7

**Task II.18** Establish data management processes satisfying controls A.7.2 to A.7.6 including provenance and quality

Module M11 | Clauses 8, 8.1, 8.2, 8.3, 8.4 | Annex A.6, A.7

**Task II.19** Operate the risk and impact assessment cycle required by Clauses 8.2 to 8.4

Module M11 | Clauses 8, 8.1, 8.2, 8.3, 8.4 | Annex A.6, A.7

**Task II.20** Define verification and validation criteria that are meaningful for the system type

Module M11 | Clauses 8, 8.1, 8.2, 8.3, 8.4 | Annex A.6, A.7

## Enablers

- Clause 2: exactly one normative reference, ISO/IEC 22989:2022
- The difference between a normative reference, a SOURCE attribution and a Bibliography entry
- Clause 3: 26 defined terms, 3.1 to 3.26, a flat list with no groups
- The four SOURCE attributed terms and the two terms original to ISO/IEC 42001
- Annex status: A and B normative, C and D informative
- Annex A: 38 controls, 9 control clauses, 10 objectives, identifiers A.2.2 to A.10.4
- The harmonised structure and Clauses 4 to 10
- Clause 6.1.1 actions to address risks and opportunities
- Clause 6.1.2 AI risk assessment: criteria, process, repeatability
- Clause 6.1.3 AI risk treatment and the Statement of Applicability
- Clause 6.1.3 b) comparison against the whole of Annex A to verify no necessary control is omitted
- Clause 6.1.4 AI system impact assessment within planning
- Clause 6.2 AI objectives and planning to achieve them
- Clause 6.3 planning of changes
- ISO/IEC 23894 as risk method
- Clause 7.1 resources

- Clause 7.2 competence and Clause 7.3 awareness
- Clause 7.4 communication
- Clause 7.5 documented information: creating, updating and controlling
- Controls A.4.2 to A.4.6 covering resource documentation, data, tooling, system and computing, and human resources
- What documented information ISO/IEC 42001 explicitly requires
- Control A.6.2.3 documentation of AI system design and development
- Control A.6.2.7 AI system technical documentation
- Control A.6.2.8 recording of event logs
- Controls A.8.2 to A.8.5 information for interested parties
- The Statement of Applicability as a controlled document
- Why the AIMS manual is not a requirement of the standard
- Clause 8.1 operational planning and control
- Clause 8.2 AI risk assessment in operation, Clause 8.3 AI risk treatment, Clause 8.4 AI system impact assessment
- Controls A.6.1.2 and A.6.1.3 management guidance for responsible development
- Controls A.6.2.2 to A.6.2.8 covering the AI system lifecycle
- Controls A.7.2 to A.7.6 covering data for AI systems
- Nine controls under A.6 and five under A.7

### Forward looking enablers

*These are examined at awareness level and are reviewed at each scheme review so the credential does not go stale.*

- Tracking terminology drift as ISO/IEC 22989 and the AI vocabulary evolve
- Where 42005 supplies the method that 42001 Clause 6.1.4 and control A.5.2 require
- Aligning the risk process with ISO/IEC 23894 and with enterprise risk management
- Risk sources specific to generative and foundation model deployments
- Competence for roles that did not exist when the framework was written
- Documenting compute and model resources whose provenance is external
- Technical documentation expectations converging with regulatory model and system documentation duties
- Log retention where systems generate volumes that make retention decisions consequential
- Lifecycle control where the model is procured and the organisation controls only fine tuning and deployment
- Data provenance under control A.7.5 when training data lineage is incomplete

## Domain III. Performance, Audit Readiness and Continual Improvement

| Property | Value |
|---|---|
| **Weighting** | 20% (approximately 12 items) |
| **Curriculum modules** | M13, M14, M16 |

| Property | Value |
|---|---|
| **Clauses covered** | 9, 9.1, 9.2, 9.3, 10, 10.1, 10.2, 4, 5, 6, 7, 8 |
| **Annex A coverage** | A |

**Task III.1** Define what will be monitored and measured under Clause 9.1 and justify the choice

Module M13 | Clauses 9, 9.1, 9.2, 9.3

**Task III.2** Design and run an internal audit programme satisfying Clause 9.2

Module M13 | Clauses 9, 9.1, 9.2, 9.3

**Task III.3** Prepare and conduct a management review covering every input Clause 9.3 requires

Module M13 | Clauses 9, 9.1, 9.2, 9.3

**Task III.4** Select indicators that reveal AIMS effectiveness rather than reporting activity volume

Module M13 | Clauses 9, 9.1, 9.2, 9.3

**Task III.5** Operate the nonconformity and corrective action process required by Clause 10.2

Module M14 | Clauses 10, 10.1, 10.2

**Task III.6** Conduct root cause analysis that identifies systemic rather than proximate causes

Module M14 | Clauses 10, 10.1, 10.2

**Task III.7** Demonstrate continual improvement under Clause 10.1 with evidence rather than assertion

Module M14 | Clauses 10, 10.1, 10.2

**Task III.8** Close the loop from AI incident through corrective action to control change

Module M14 | Clauses 10, 10.1, 10.2

**Task III.9** Conduct a readiness assessment against Clauses 4 to 10 and all 38 Annex A controls

Module M16 | Clauses 4, 5, 6, 7, 8, 9, 10 | Annex A

**Task III.10** Assemble and index the evidence pack for a Stage 2 audit

Module M16 | Clauses 4, 5, 6, 7, 8, 9, 10 | Annex A

**Task III.11** Anticipate and prevent the most common audit findings

Module M16 | Clauses 4, 5, 6, 7, 8, 9, 10 | Annex A

**Task III.12** Consolidate Lead Implementer knowledge against the Exam Content Outline

Module M16 | Clauses 4, 5, 6, 7, 8, 9, 10 | Annex A

### Enablers

- Clause 9.1 monitoring, measurement, analysis and evaluation
- Clause 9.2 internal audit and the audit programme
- Clause 9.3 management review, its inputs and outputs
- Choosing indicators that measure AIMS effectiveness rather than activity
- Clause 10.1 continual improvement
- Clause 10.2 nonconformity and corrective action
- Root cause analysis that reaches system causes rather than individual blame
- Closing the loop from incident to control change
- Readiness assessment against every clause and all 38 controls

- Assembling the evidence pack
- Common audit findings and how to prevent them
- Examination preparation and the Exam Content Outline

### Forward looking enablers

*These are examined at awareness level and are reviewed at each scheme review so the credential does not go stale.*

- Monitoring model behaviour as an AIMS input rather than a purely technical concern
- Continuous evaluation where periodic audit is too slow for the deployment cadence
- Incident response for AI specific failure modes
- Learning loops where the failure is probabilistic rather than deterministic
- Readiness where parts of the estate are governed by regulation the auditor will also probe

## Domain IV. Ethics, Transparency and External Engagement

| Property | Value |
|---|---|
| **Weighting** | 15% (approximately 9 items) |
| **Curriculum modules** | M6, M7, M12, M15 |
| **Clauses covered** | 4.2, 6.1.4, 4, 5, 6.1.2, 8.2, 7.1, 9.1 |
| **Annex A coverage** | A.5, A.9, A.2, A.3, A.10, A.4.4 |

**Task IV.1** Design an AI system impact assessment process satisfying Clause 6.1.4 and control A.5.2

Module M6 | Clauses 4.2, 6.1.4 | Annex A.5, A.9

**Task IV.2** Conduct an impact assessment on a worked case and document results per control A.5.3

Module M6 | Clauses 4.2, 6.1.4 | Annex A.5, A.9

**Task IV.3** Distinguish and assess individual, group and societal impacts per controls A.5.4 and A.5.5

Module M6 | Clauses 4.2, 6.1.4 | Annex A.5, A.9

**Task IV.4** Implement responsible use requirements including intended use boundaries per control A.9.4

Module M6 | Clauses 4.2, 6.1.4 | Annex A.5, A.9

**Task IV.5** Produce a coherent scope statement, AI policy and governance structure for the case organisation

Module M7 | Clauses 4, 5, 6.1.4 | Annex A.2, A.3, A.5, A.9

**Task IV.6** Conduct and document an impact assessment for the case organisation's highest risk system

Module M7 | Clauses 4, 5, 6.1.4 | Annex A.2, A.3, A.5, A.9

**Task IV.7** Build the applicability position that will drive the Statement of Applicability

Module M7 | Clauses 4, 5, 6.1.4 | Annex A.2, A.3, A.5, A.9

**Task IV.8** Sequence the implementation into a defensible programme plan

Module M7 | Clauses 4, 5, 6.1.4 | Annex A.2, A.3, A.5, A.9

**Task IV.9** Use Annex C risk sources to build a risk register appropriate to the organisation's systems

Module M12 | Clauses 6.1.2, 8.2 | Annex A.5, A.10

**Task IV.10** Assess generative and foundation model risks including injection, leakage and misuse

Module M12 | Clauses 6.1.2, 8.2 | Annex A.5, A.10

**Task IV.11** Implement third party controls A.10.2 to A.10.4 including supplier and customer obligations

Module M12 | Clauses 6.1.2, 8.2 | Annex A.5, A.10

**Task IV.12** Design drift detection appropriate to the deployment

Module M12 | Clauses 6.1.2, 8.2 | Annex A.5, A.10

**Task IV.13** Identify where AI assistance adds value to AIMS operation and where it introduces risk

Module M15 | Clauses 7.1, 9.1 | Annex A.4.4

**Task IV.14** Document the AI tools used to operate the AIMS, distinguishing A.4.4 tooling resources for the AI system from resources under Clause 7.1 and control A.4.2

Module M15 | Clauses 7.1, 9.1 | Annex A.4.4

**Task IV.15** Establish verification requirements for AI generated content entering documented information

Module M15 | Clauses 7.1, 9.1 | Annex A.4.4

**Task IV.16** Apply the AIMS to the organisation's own governance tooling

Module M15 | Clauses 7.1, 9.1 | Annex A.4.4

## Enablers

- What responsible AI means inside a management system, as distinct from ethical aspiration
- Clause 6.1.4 AI system impact assessment as a requirement
- Controls A.5.2 to A.5.5 on assessing impacts to individuals, groups and societies
- Controls A.9.2 to A.9.4 on responsible use of AI systems
- Fairness, transparency, explainability, robustness and safety as engineering properties, not slogans
- Where ISO/IEC 23894 and ISO/IEC 42005 supply method
- End to end application of everything covered so far to a single worked organisation
- From context and scope through policy and roles to a first impact assessment
- Identifying where the organisation is not yet conformant and what it would take
- Risk sources catalogued in Annex C and how to use an informative annex properly
- Generative and foundation model risks: hallucination, prompt injection, data leakage, misuse
- Third party and supply chain risk, controls A.10.2 to A.10.4
- Model drift, data drift and performance degradation
- Security risks specific to AI systems
- Where AI assistance genuinely helps AIMS operation and where it does not
- Control A.4.4 tooling resources for the AI system, and where AIMS tooling falls instead (7.1, A.4.2, A.9.2 to A.9.4, A.10.3)

- Tool assisted evidence gathering, monitoring and documentation drafting
- The governance paradox: governing the tools used to govern AI
- Verification obligations when AI output enters the evidence trail

### Forward looking enablers

*These are examined at awareness level and are reviewed at each scheme review so the credential does not go stale.*

- Using ISO/IEC 42005 as the method behind the 42001 requirement
- Assessing impacts of systems that adapt after deployment
- Case organisation carries a mixed estate of procured, fine tuned and in house systems
- Agentic risk: tool use, autonomous action, multi agent interaction and blast radius
- Model supply chain risk where a base model changes underneath the deployment
- Agentic assistants operating inside compliance workflows and the oversight they require
- Evidence integrity where documentation is machine generated

## 4. Examination structure

| Property | Value |
|---|---|
| **Scored items** | 60 |
| **Format** | 45 single answer multiple choice with four options A to D, and 15 multi answer with five options A to E, select all that apply, no partial credit |
| **Time allowed** | 90 minutes |
| **Pass mark** | 70%, confirmed per form by a modified Angoff standard setting study |
| **Delivery** | Online only, AI proctored on the GAICC hub (no test centre option) |
| **Open book** | No, closed book |
| **Languages** | English, other languages on request |
| **Attempts** | One attempt per registration, plus one free resit within 12 months of registration |
| **Results** | Provisional result on screen at completion; the Certification Decision Authority confirms the certification decision within 72 hours |

## 5. Reference material

Candidates are expected to work from the standard itself and the GAICC course materials. The following are the references from which examinable content is drawn.

| Reference | Status |
|---|---|
| **ISO/IEC 42001:2023** | The subject standard. Primary source for every item. |
| **ISO/IEC 22989:2022** | The single normative reference of ISO/IEC 42001. Vocabulary applies by reference. |
| **ISO/IEC 23894** | Guidance on AI risk management. Examined at awareness level only. |
| **ISO/IEC 42005** | Guidance on AI system impact assessment. Examined at awareness level only. |
| **ISO/IEC 42006** | Requirements for certification bodies. Context only. |
| **GAICC course materials** | Slides, class handouts and student workbook for this credential. |

*Candidates must obtain a licensed copy of any ISO standard they wish to read in full. GAICC materials do not reproduce the normative text.*

## 6. Factual governance

Every item and every rationale is checked against GAICC-QMS-FR-42001-001 (Standard Fact Register v1.0) before it can appear on a form. The following facts are stated here because

they were previously taught incorrectly in GAICC materials, and because they are commonly stated incorrectly across AI governance training generally.

| Fact | Correct position |
|---|---|
| **Clause 2 normative references** | Exactly one: ISO/IEC 22989:2022 |
| **ISO 9000** | Does not appear anywhere in ISO/IEC 42001:2023 |
| **ISO 31000 and ISO/IEC 27000** | SOURCE attributions for terms 3.21 and 3.23, and Bibliography entries. Not normative references. |
| **Clause 3 defined terms** | 26 terms, 3.1 to 3.26, a flat list with no groups |
| **Annex A controls** | 38 controls, 9 control clauses, 10 objectives |
| **Annex status** | A and B normative; C and D informative |
| **Control identifiers** | A.2.2 to A.10.4. A.6.1 contains only A.6.1.2 and A.6.1.3. |

**No item may assert otherwise, including in its answer key or rationale.** An item found to contradict the register is withdrawn, and every candidate whose result was affected is identified and remediated.

## 7. Revision history

| Version | Date | Change |
|---|---|---|
| **1.0** | 25 August 2026 | Reissued against the corrected fact base. Tasks now trace to curriculum modules and clauses. Fee sections removed so that fees have a single source of truth. |
| **1.1** | 12 October 2026 | Reissued to match the corrected Lead Implementer course. The EU AI Act obligation calendar is removed, so the credential names no law. The harmonised structure is described as formerly Annex SL. The AI roles follow NOTE 1 to Clause 4.1, including AI customer (including AI user). Task IV.14 and the A.4.4 enabler distinguish A.4.4 tooling resources for the AI system from the tools used to operate the AIMS (Clause 7.1, A.4.2, A.9.2 to A.9.4, A.10.3), as the course teaches. Task numbering, domains and weightings are unchanged. Any item written to a removed or reworded line is withdrawn or rekeyed before the next form is assembled. |
| **1.2** | 30 October 2026 | Examination structure updated: delivery is online only, AI proctored on the GAICC hub, with no test centre option; each registration includes one attempt plus one free resit within 12 months of registration. Exam content, domains and weightings are unchanged. |