GAICC

ISO/IEC 42001 Lead Auditor

Examination Content Outline

This Examination Content Outline defines what the ISO/IEC 42001 Lead Auditor examination assesses. Every scored item on every form traces to a task listed here. Candidates should treat it as the definitive statement of scope; anything not derivable from this outline is not examined.

Field	Value
Document ID	GAICC-ECO-LA42001-001
Version	1.3
Issued	30 October 2026
Credential	ISO/IEC 42001 Lead Auditor
Subject standard	ISO/IEC 42001:2023, First edition, 2023-12
Scored items	60
Time allowed	90 minutes
Pass mark	70%
Scheme document	GAICC-SCH-LA42001-001
Fact authority	GAICC-QMS-FR-42001-001 (Standard Fact Register v1.0) and GAICC-QMS-FR-AUDIT-001 (Audit Standards Fact Register v1.0)

This document contains no fee information. Examination and membership fees are published in the Candidate Handbook and on certifications.gaicc.org, which is the single source of truth for fees. Training prices never appear in any GAICC certification document.

1. How to use this outline

The outline is organized into domains. Each domain contains tasks, and each task is supported by enablers that describe the knowledge required to perform it.

Element	What it means
Domain	A major area of competence. Its weighting fixes how many items it contributes to a form.
Task	Something the certified person can do. Items are written to tasks, never to topics in the abstract.
Enabler	The knowledge or evidence that makes the task performable. Enablers are illustrative, not exhaustive.
Clause reference	The ISO/IEC 42001:2023 clauses and Annex A controls the task draws on.

An item may address more than one enabler, but it is tagged to exactly one domain and one task for blueprint purposes.

2. Domains and weightings

Domain	Title	Weighting	Items
I	Governance and Leadership in AI Management Systems	25%	15
II	Audit of Implementation and Operational Control of the AIMS	40%	24
III	Performance Evaluation, Audit Readiness and Continual Improvement	20%	12
IV	Ethics, Transparency and External Engagement	15%	9
	TOTAL	100%	60

2.1 Cognitive depth

Items are distributed across cognitive levels as follows. This distribution reflects what the credential asserts about its holder: second and third-party audit. The learner leads an audit team under ISO/IEC 17021-1 and ISO/IEC 42006, runs Stage 1 and Stage 2, grades nonconformities as major or minor against the definitions at 3.12 and 3.13 of ISO/IEC 17021-1, and defends a certification recommendation. They are expected to justify a judgment, not only to record one.

Cognitive level	Share	Items
Remember	5%	3
Understand	15%	9
Apply	35%	21
Analyze	30%	18

Cognitive level	Share	Items
Evaluate	15%	9

Difficulty. moderate to very hard, center of gravity hard. The permitted difficulty vocabulary is easy, moderate, hard and very-hard.

3. Domains, tasks and enablers

Domain I. Governance and Leadership in AI Management Systems

Property	Value
Weighting	25% (approximately 15 items)
Curriculum modules	M0, M1, M2, M3, M4, M5
Clauses covered	1, 2, 3, 4, 4.1, 4.2, 4.3, 4.4, 5, 5.1, 5.2, 5.3
Annex A coverage	A, B, C, D, A.2, A.3

Task I.1 State the Lead Auditor examination specification: 60 scored items, 90 minutes, four domains weighted 25, 40, 20 and 15 percent

Module M0 | Not clause specific

Task I.2 Distinguish the requirements that bind a certification body under ISO/IEC 17021-1 and ISO/IEC 42006 from the guidance ISO 19011:2026 offers

Module M0 | Not clause specific

Task I.3 Explain the accreditation chain: what accredits the certification body, what the certification body certifies, and what GAICC certifies

Module M0 | Not clause specific

Task I.4 Identify the audit team leader's accountabilities that cannot be delegated

Module M0 | Not clause specific

Task I.5 Explain precisely what a certificate to ISO/IEC 42001 does and does not assert about an organization

Module M1 | Clauses 1

Task I.6 Distinguish conformity of the management system from performance of the AI systems it governs

Module M1 | Clauses 1

Task I.7 Evaluate a claimed business driver for certification and identify where it exceeds what certification can support

Module M1 | Clauses 1

Task I.8 Sequence the certification cycle under ISO/IEC 17021-1: two-stage initial audit, surveillance at least once a calendar year except in recertification years, recertification before expiry

Module M2 | Not clause specific

Task I.9 State the purpose of Stage 1 and of Stage 2 and justify what belongs in each

Module M2 | Not clause specific

Task I.10 Explain who sets AIMS audit time and where the figures come from

Module M2 | Not clause specific

Task I.11 Evaluate an impartiality scenario against ISO/IEC 17021-1 5.2.5, 5.2.6, 5.2.7 and 5.2.10 and rule on whether the body may proceed

Module M2 | Not clause specific

Task I.12 Construct audit criteria from the normative text and defend the boundary of what is auditable

Module M3 | Clauses 2, 3 | Annex A, B, C, D

Task I.13 Rule on whether a proposed nonconformity cites a requirement or an expectation

Module M3 | Clauses 2, 3 | Annex A, B, C, D

Task I.14 Apply the normative status of Annexes A and B and the informative status of C and D when a client disputes a finding

Module M3 | Clauses 2, 3 | Annex A, B, C, D

Task I.15 Evaluate whether a proposed certification scope is auditable and defensible

Module M4 | Clauses 4, 4.1, 4.2, 4.3, 4.4

Task I.16 Grade a scope defect as major or minor against 3.12 and 3.13 of ISO/IEC 17021-1 and justify the grade

Module M4 | Clauses 4, 4.1, 4.2, 4.3, 4.4

Task I.17 Challenge a scope exclusion at the closing meeting with evidence rather than assertion

Module M4 | Clauses 4, 4.1, 4.2, 4.3, 4.4

Task I.18 Evaluate whether governance structures are effective or merely present

Module M5 | Clauses 5, 5.1, 5.2, 5.3 | Annex A.2, A.3

Task I.19 Interview top management and extract auditable evidence of commitment

Module M5 | Clauses 5, 5.1, 5.2, 5.3 | Annex A.2, A.3

Task I.20 Grade a leadership nonconformity and defend it against the argument that it is cultural rather than systemic

Module M5 | Clauses 5, 5.1, 5.2, 5.3 | Annex A.2, A.3

Enablers

- How the credential is structured and assessed
- Exam format, cut score, and what the Exam Content Outline governs
- How to use the workbook, handouts and toolkit together
- The Standard Fact Register and why every factual claim is traceable
- Learner conduct, appeals and recertification in outline
- What problem an AI management system solves that existing controls do not
- Scope of ISO/IEC 42001 (Clause 1) and what it deliberately excludes
- Why AI needs a management system: opacity, drift, data dependence, autonomy, distributed accountability
- The management system family and the harmonized structure (formerly Annex SL)
- The regulatory and assurance landscape the standard sits inside
- How management system certification works: accreditation, certification body, audit stages
- ISO/IEC 42006 and requirements for bodies auditing AI management systems
- Stage 1 and Stage 2 audits, surveillance and recertification
- Nonconformity grading under 3.12 and 3.13 of ISO/IEC 17021-1, and corrective action under its 9.4.9 and 9.4.10
- The distinction between organizational certification and personnel certification
- Clause 2: exactly one normative reference, ISO/IEC 22989:2022

- The difference between a normative reference, a SOURCE attribution and a Bibliography entry
- Clause 3: 26 defined terms, 3.1 to 3.26, a flat list with no groups
- The four SOURCE attributed terms and the two terms original to ISO/IEC 42001
- Annex status: A and B normative, C and D informative
- Annex A: 38 controls, 9 control clauses, 10 objectives, identifiers A.2.2 to A.10.4
- The harmonized structure and Clauses 4 to 10
- Clause 4.1 external and internal issues, including the climate change determination
- Clause 4.2 interested parties and their relevant requirements
- Clause 4.3 determining the scope of the AI management system
- Clause 4.4 the AI management system itself and its processes
- Determining roles for each AI system, with the roles NOTE 1 to Clause 4.1 lists: provider, producer, customer (including AI users), partner, subject, relevant authority
- How role determines which requirements and controls apply
- Clause 5.1 leadership and commitment
- Clause 5.2 the AI policy
- Clause 5.3 roles, responsibilities and authorities
- Controls A.2.2 AI policy, A.2.3 alignment with other organizational policies, A.2.4 review of the AI policy
- Controls A.3.2 AI roles and responsibilities, A.3.3 reporting of concerns
- The governing body (3.22) and its relationship to top management (3.3)

Forward looking enablers

These are examined at awareness level and are reviewed at each scheme review so the credential does not go stale.

- ISO/IEC 42006:2025, published July 2025, which supplements ISO/IEC 17021-1 for bodies certifying AI management systems
- Remote and hybrid audit activity, anchored on ISO/IEC 42006 clause 9.2.4, deployment of remote audit
- ISO/IEC 17024:2026, the standard for bodies certifying persons that GAICC's schemes are designed against, and its provisions on AI-based assessment tools
- Certification as evidence in regulatory and procurement contexts, and its limits
- Multi-standard certification and where AIMS scope collides with ISMS scope
- ISO/IEC 42006 Annex A as the normative source of AIMS audit time
- Remote deployment of audit activity under ISO/IEC 42006 clause 9.2.4
- Citing ISO/IEC 42006 requirements alongside ISO/IEC 42001 criteria without confusing the two
- Scope disputes over shadow AI and employee use of general-purpose tools
- Board-level accountability for autonomous systems and what evidences it

Domain II. Audit of Implementation and Operational Control of the AIMS

Property	Value
Weighting	40% (approximately 24 items)

Property	Value
Curriculum modules	M8, M9, M10, M11, M12, M16
Clauses covered	6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3, 7, 7.1, 7.2, 7.3, 7.4, 7.5, 8.1, 8, 8.2, 8.3, 8.4, 4, 5, 9, 10
Annex A coverage	A.5, A.4, A.6.2.3, A.6.2.7, A.6.2.8, A.8, A.6, A.7, A.10, A

Task II.1 Evaluate whether a Statement of Applicability justifies every inclusion and exclusion across all 38 Annex A controls

Module M8 | Clauses 6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3 | Annex A.5

Task II.2 Grade a planning nonconformity as major or minor and defend the grade against 3.12 of ISO/IEC 17021-1

Module M8 | Clauses 6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3 | Annex A.5

Task II.3 Test whether risk criteria produce consistent results across different assessors

Module M8 | Clauses 6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3 | Annex A.5

Task II.4 Challenge a risk treatment decision that transfers risk without transferring accountability

Module M8 | Clauses 6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3 | Annex A.5

Task II.5 Evaluate whether competence evidence supports the roles the AIMS depends on

Module M9 | Clauses 7, 7.1, 7.2, 7.3, 7.4, 7.5 | Annex A.4

Task II.6 Grade a competence nonconformity where training exists but capability does not

Module M9 | Clauses 7, 7.1, 7.2, 7.3, 7.4, 7.5 | Annex A.4

Task II.7 Test communication effectiveness rather than communication activity

Module M9 | Clauses 7, 7.1, 7.2, 7.3, 7.4, 7.5 | Annex A.4

Task II.8 Evaluate whether documented information is sufficient for the AIMS to be repeatable by someone else

Module M10 | Clauses 7.4, 7.5, 8.1 | Annex A.6.2.3, A.6.2.7, A.6.2.8, A.8

Task II.9 Grade traceability failures according to whether they affect the capability of the management system to achieve the intended results, the test at 3.12 and 3.13 of ISO/IEC 17021-1

Module M10 | Clauses 7.4, 7.5, 8.1 | Annex A.6.2.3, A.6.2.7, A.6.2.8, A.8

Task II.10 Sample records to test a control rather than to confirm a document exists

Module M10 | Clauses 7.4, 7.5, 8.1 | Annex A.6.2.3, A.6.2.7, A.6.2.8, A.8

Task II.11 Evaluate implementation and effectiveness of operational controls at Stage 2 rather than their existence

Module M11 | Clauses 8, 8.1, 8.2, 8.3, 8.4 | Annex A.6, A.7

Task II.12 Test a control by tracing a real transaction through it

Module M11 | Clauses 8, 8.1, 8.2, 8.3, 8.4 | Annex A.6, A.7

Task II.13 Grade an operational nonconformity where the control is designed correctly but not operating

Module M11 | Clauses 8, 8.1, 8.2, 8.3, 8.4 | Annex A.6, A.7

Task II.14 Evaluate whether a risk methodology is capable of surfacing novel risk at all

Module M12 | Clauses 6.1.2, 8.2 | Annex A.5, A.10

Task II.15 Grade a finding where the register is complete against a methodology that is itself inadequate

Module M12 | Clauses 6.1.2, 8.2 | Annex A.5, A.10

Task II.16 Challenge a client assertion that a risk is out of scope

Module M12 | Clauses 6.1.2, 8.2 | Annex A.5, A.10

Task II.17 Run Stage 1 to determine readiness and Stage 2 to evaluate implementation and effectiveness, allocating activity correctly

Module M16 | Clauses 4, 5, 6, 7, 8, 9, 10 | Annex A

Task II.18 Lead an audit team: assign work, manage guides and observers, and hold the audit to plan

Module M16 | Clauses 4, 5, 6, 7, 8, 9, 10 | Annex A

Task II.19 Grade nonconformities and produce a defensible certification recommendation

Module M16 | Clauses 4, 5, 6, 7, 8, 9, 10 | Annex A

Task II.20 Handle a disputed finding at the closing meeting

Module M16 | Clauses 4, 5, 6, 7, 8, 9, 10 | Annex A

Enablers

- Clause 6.1.1 actions to address risks and opportunities
- Clause 6.1.2 AI risk assessment: criteria, process, repeatability
- Clause 6.1.3 AI risk treatment and the Statement of Applicability
- Clause 6.1.3 b) comparison against the whole of Annex A to verify no necessary control is omitted
- Clause 6.1.4 AI system impact assessment within planning
- Clause 6.2 AI objectives and planning to achieve them
- Clause 6.3 planning of changes
- ISO/IEC 23894 as risk method
- Clause 7.1 resources
- Clause 7.2 competence and Clause 7.3 awareness
- Clause 7.4 communication
- Clause 7.5 documented information: creating, updating and controlling
- Controls A.4.2 to A.4.6 covering resource documentation, data, tooling, system and computing, and human resources
- What documented information ISO/IEC 42001 explicitly requires
- Clause 7.4 communication: what the client determined, and what its communications leave as evidence
- Control A.6.2.3 documentation of AI system design and development
- Control A.6.2.7 AI system technical documentation
- Control A.6.2.8 AI system recording of event logs
- Controls A.8.2 to A.8.5 information for interested parties
- The Statement of Applicability as a controlled document
- Why the AIMS manual is not a requirement of the standard
- Clause 8.1 operational planning and control

- Clause 8.2 AI risk assessment in operation, Clause 8.3 AI risk treatment, Clause 8.4 AI system impact assessment
- Controls A.6.1.2 and A.6.1.3 management guidance for responsible development
- Controls A.6.2.2 to A.6.2.8 covering the AI system life cycle
- Controls A.7.2 to A.7.6 covering data for AI systems
- Nine controls under A.6 and five under A.7
- Risk sources catalogued in Annex C and how to use an informative annex properly
- Generative and foundation model risks: hallucination, prompt injection, data leakage, misuse
- Third party and supply chain risk, controls A.10.2 to A.10.4
- Model drift, data drift and performance degradation
- Security risks specific to AI systems
- Readiness assessment against every clause and all 38 controls
- Assembling the evidence pack
- Common audit findings and how to evidence and grade them
- Examination preparation and the Exam Content Outline

Forward looking enablers

These are examined at awareness level and are reviewed at each scheme review so the credential does not go stale.

- Auditing risk treatment for systems the client procures rather than builds
- ISO/IEC 23894 alignment as an audit expectation rather than a requirement
- Auditing competence for oversight of generative systems
- Communication obligations to affected parties outside the organization
- Auditing automatically generated evidence and its reliability
- Auditing human oversight, where the client's controls provide for it, for meaningful rather than nominal review
- Operational control for third-party foundation models
- Auditing exposure to generative model failure modes with no established control set
- Remote deployment of certification audit activity under ISO/IEC 42006 clause 9.2.4
- AIMS audit time under ISO/IEC 42006 Annex A, which is normative

Domain III. Performance Evaluation, Audit Readiness and Continual Improvement

Property	Value
Weighting	20% (approximately 12 items)
Curriculum modules	M13, M14, M15
Clauses covered	9, 9.1, 9.2, 9.3, 10, 10.1, 10.2, 7.1
Annex A coverage	A.4.4

Task III.1 Evaluate whether monitoring produces information a decision maker can act on

Module M13 | Clauses 9, 9.1, 9.2, 9.3

Task III.2 Verify conduct and effectiveness of internal audits and management reviews as a certification auditor

Module M13 | Clauses 9, 9.1, 9.2, 9.3

Task III.3 Grade a management review nonconformity where the meeting happened and the decisions did not

Module M13 | Clauses 9, 9.1, 9.2, 9.3

Task III.4 Evaluate corrective action for its causes, as 10.2 b) requires, rather than its symptoms

Module M14 | Clauses 10, 10.1, 10.2

Task III.5 Decide whether verification of effectiveness is sufficient to close a major nonconformity

Module M14 | Clauses 10, 10.1, 10.2

Task III.6 Grade a repeat finding and justify escalation

Module M14 | Clauses 10, 10.1, 10.2

Task III.7 Evaluate the reliability of AI-assisted evidence analysis before relying on it

Module M15 | Clauses 7.1, 9.1 | Annex A.4.4

Task III.8 Maintain the audit trail when part of the analysis was machine-generated

Module M15 | Clauses 7.1, 9.1 | Annex A.4.4

Task III.9 Rule on whether AI-assisted sampling satisfies the evidence-based approach

Module M15 | Clauses 7.1, 9.1 | Annex A.4.4

Enablers

- Clause 9.1 monitoring, measurement, analysis and evaluation
- Clause 9.2 internal audit and the audit program
- Clause 9.3 management review, its inputs and outputs
- Choosing indicators that measure AIMS effectiveness rather than activity
- Clause 10.1 continual improvement
- Clause 10.2 nonconformity and corrective action
- Cause analysis that reaches system causes rather than individual blame
- Closing the loop from incident to control change
- Where AI assistance genuinely helps AIMS operation and where it does not
- Control A.4.4 tooling resources for the AI system, and where AIMS tooling falls instead (7.1, A.4.2, A.9.2 to A.9.4, A.10.3)
- Tool assisted evidence gathering, monitoring and documentation drafting
- The governance paradox: governing the tools used to govern AI
- Verification obligations when AI output enters the evidence trail

Forward looking enablers

These are examined at awareness level and are reviewed at each scheme review so the credential does not go stale.

- Assessing readiness where the client's own monitoring is automated and unvalidated
- Verifying correction where the fix is a model retrain that cannot be inspected
- Certification body obligations when audit tooling is itself an AI system

Domain IV. Ethics, Transparency and External Engagement

Property	Value
Weighting	15% (approximately 9 items)
Curriculum modules	M6, M7
Clauses covered	4.2, 6.1.4, 4, 5
Annex A coverage	A.5, A.9, A.2, A.3

Task IV.1 Apply the seven principles of auditing in ISO 19011:2026, at 4.2 to 4.8, and the impartiality provisions of ISO/IEC 17021-1 at 5.2.5, 5.2.6, 5.2.7 and 5.2.10

Module M6 | Clauses 4.2, 6.1.4 | Annex A.5, A.9

Task IV.2 Rule on whether a prior relationship permits the body to certify, distinguishing the 5.2.5 bar on consultancy from the two-year mitigations at 5.2.6 and 5.2.7 and the personnel rule at 5.2.10

Module M6 | Clauses 4.2, 6.1.4 | Annex A.5, A.9

Task IV.3 Report findings truthfully where the client disputes them and commercial pressure is present

Module M6 | Clauses 4.2, 6.1.4 | Annex A.5, A.9

Task IV.4 Separate audit from consultancy in the language of a finding

Module M6 | Clauses 4.2, 6.1.4 | Annex A.5, A.9

Task IV.5 Plan a Stage 1 and Stage 2 audit for a described client, allocating activity to the correct stage

Module M7 | Clauses 4, 5, 6.1.4 | Annex A.2, A.3, A.5, A.9

Task IV.6 Assign an audit team against a competence matrix and justify the allocation

Module M7 | Clauses 4, 5, 6.1.4 | Annex A.2, A.3, A.5, A.9

Task IV.7 Produce an audit plan a client and an accreditation assessor would both accept

Module M7 | Clauses 4, 5, 6.1.4 | Annex A.2, A.3, A.5, A.9

Enablers

- What responsible AI means inside a management system, as distinct from ethical aspiration
- Clause 6.1.4 AI system impact assessment as a requirement
- Controls A.5.2 to A.5.5 on assessing impacts on individuals or groups of individuals, and on societies
- Controls A.9.2 to A.9.4 on responsible use of AI systems
- Fairness, transparency, explainability, robustness and safety as engineering properties, not slogans
- Where ISO/IEC 23894, cited in the notes to Clause 6.1.1, supplies risk method
- End to end application of everything covered so far to a single worked organization
- From context and scope through policy and roles to a first impact assessment
- Identifying where the organization is not yet conformant, and recording it without advising on the fix

Forward looking enablers

These are examined at awareness level and are reviewed at each scheme review so the credential does not go stale.

- Transparency obligations to third parties who rely on a certificate
- Ethics of auditing a client whose AI competes with the certification body's own tools
- Planning an audit where part of the evidence exists only in a live system

4. Examination structure

Property	Value
Scored items	60
Format	45 single answer multiple choice with four options A to D, and 15 multi answer with five options A to E, select all that apply, no partial credit
Time allowed	90 minutes
Pass mark	70%, confirmed per form by a modified Angoff standard setting study
Delivery	Online only, AI proctored on the GAICC hub (no test center option)
Open book	No, closed book
Languages	English, other languages on request
Attempts	One attempt per registration, plus one free resit within 12 months of registration
Results	Provisional result on screen at completion; the Certification Decision Authority confirms the certification decision within 72 hours

5. Reference material

Candidates are expected to work from the standard itself and the GAICC course materials. The following are the references from which examinable content is drawn.

Reference	Status
ISO/IEC 42001:2023	The subject standard. Primary source for every item.
ISO/IEC 22989:2022	The single normative reference of ISO/IEC 42001. Vocabulary applies by reference.
ISO/IEC 23894	Guidance on AI risk management. Examined at awareness level only.
ISO/IEC 42005:2025	Guidance on AI system impact assessment. Criteria only where the client adopts it. Awareness level.
ISO/IEC 42006:2025	Requirements for bodies providing audit and certification of AI management systems. Supplements ISO/IEC 17021-1. Examined on the clauses the course teaches.
ISO/IEC 17021-1:2015	Requirements for bodies providing audit and certification of management systems. Examined on the clauses the course teaches, including the major and minor nonconformity definitions at 3.12 and 3.13.
ISO 19011:2026	Guidelines for auditing management systems. Guidance only, and binds no one. Its seven principles at 4.2 to 4.8 are examined.
ISO/IEC 17024:2026	Requirements for bodies certifying persons. GAICC's schemes

Reference	Status
	are designed against it. Context only.
GAICC course materials	Slides, class handouts and student workbook for this credential.

Candidates must obtain a licensed copy of any ISO standard they wish to read in full. GAICC materials do not reproduce the normative text.

6. Factual governance

Every item and every rationale is checked against GAICC-QMS-FR-42001-001 (Standard Fact Register v1.0) and GAICC-QMS-FR-AUDIT-001 (Audit Standards Fact Register v1.0) before it can appear on a form. The following facts are stated here because they were previously taught incorrectly in GAICC materials, and because they are commonly stated incorrectly across AI governance training generally.

Fact	Correct position
Clause 2 normative references	Exactly one: ISO/IEC 22989:2022
ISO 9000	Does not appear anywhere in ISO/IEC 42001:2023
ISO 31000 and ISO/IEC 27000	SOURCE attributions for terms 3.21 and 3.23, and Bibliography entries. Not normative references.
Clause 3 defined terms	26 terms, 3.1 to 3.26, a flat list with no groups
Annex A controls	38 controls, 9 control clauses, 10 objectives
Annex status	A and B normative; C and D informative
Control identifiers	A.2.2 to A.10.4. A.6.1 contains only A.6.1.2 and A.6.1.3.
3.12 in ISO/IEC 42001	Continual improvement. A grade cites 3.12 or 3.13 of ISO/IEC 17021-1 by name, never a bare number
ISO 19011:2026	Guidance, written in should. Not certifiable, and no nonconformity can be raised against it. The 2018 edition was withdrawn on 27 May 2026
Principles of auditing	Seven, at 4.2 to 4.8 of ISO 19011:2026
ISO/IEC 17021-1:2015	Defines nonconformity, major nonconformity and minor nonconformity at 3.11, 3.12 and 3.13. Under 9.4.5, a nonconformity is never recorded as an opportunity for improvement
ISO/IEC 42006:2025	Published July 2025. Supplements ISO/IEC 17021-1 and does not replace it. Audit time sits at 9.1.4, with Annex A normative
ISO/IEC 17024:2026	Sets requirements for bodies that certify persons, and GAICC's schemes are designed against it. The 2012 edition was withdrawn on 31 March 2026

No item may assert otherwise, including in its answer key or rationale. An item found to contradict the register is withdrawn, and every candidate whose result was affected is identified and remediated.

7. Revision history

Version	Date	Change
1.0	25 August 2026	Reissued against the corrected fact base. Tasks now trace to curriculum modules and clauses. Fee sections removed so that fees have a single source of truth.
1.1	29 September 2026	Reissued against the Audit Standards Fact Register and the finished Lead Auditor course. Task I.1 now states 60 items, all scored, matching the format table. Tasks I.16, II.2 and II.9 name 3.12 and 3.13 of ISO/IEC 17021-1. Tasks I.11, IV.1 and IV.2 cite 5.2.5, 5.2.6, 5.2.7 and 5.2.10 as the register carries them, and 5.2.10 is no longer called a mitigation. Task III.4 follows the words of 10.2 b). The claim that ISO 19011:2026 expanded remote auditing is removed. ISO/IEC 42005 is removed from the enablers and references. ISO/IEC 17021-1, ISO 19011 and ISO/IEC 17024 are added as references, and the audit standard facts are added. M10 tasks add Clause 7.4. Spelling is American English outside quoted standard wording. Task numbering, domains and weightings are unchanged.
1.2	12 October 2026	Reissued to match the corrected Lead Auditor course. The harmonized structure is described as formerly Annex SL. The AI roles add AI customer (including AI users), as NOTE 1 to Clause 4.1 lists them. The A.4.4 enabler distinguishes tooling resources for the AI system from the tools used to operate the AIMS (Clause 7.1, A.4.2, A.9.2 to A.9.4, A.10.3), so the auditor track keys the same reading as Lead Implementer. ISO/IEC 42005:2025 is restored as a reference at awareness level, as criteria only where the client adopts it. Task numbering, domains and weightings are unchanged. Any item written to a removed or reworded line is withdrawn or rekeyed before the next form is assembled.
1.3	30 October 2026	Examination format table: delivery is online only, AI proctored, with no test center option; attempts are one per registration plus one free resit within 12 months of registration. Examination content is unchanged.