GAICC

ISO/IEC 42001 Lead Auditor

Course Curriculum

This curriculum defines what is taught, in what order, to what cognitive depth, and how each module traces to ISO/IEC 42001:2023. It is the controlling document for the instructor slide set, the self-paced course, the class handouts and the student workbook. Every factual claim made in any of those artifacts is governed by the Standard Fact Register and the Audit Standards Fact Register.

Field	Value
Document ID	GAICC-CUR-LA42001-001
Version	1.2
Issued	12 October 2026
Credential	ISO/IEC 42001 Lead Auditor
Credential tier	Tier 3
Modules	17 (M0 to M16)
Contact hours	32
Self-paced video	About 57 hours of narrated video, measured from the narration script; final audio may differ slightly. Video viewing time is stated separately from the 32 contact hours; the contact-hour requirement is unchanged.
Standard	ISO/IEC 42001:2023, First edition, 2023-12
Fact authority	GAICC-QMS-FR-42001-001 (Standard Fact Register v1.0) and GAICC-QMS-FR-AUDIT-001 (Audit Standards Fact Register v1.0)
Module architecture	GAICC-QMS-MM-42001-001 v2.0

This curriculum contains no fee information. Examination and membership fees are published in the Candidate Handbook and on the certification body website.

1. Purpose and scope

This document specifies the ISO/IEC 42001 Lead Auditor curriculum. It states the learning outcomes for every module, the clauses and Annex A controls each module covers, and the cognitive level at which the material is taught and assessed.

It exists so that three things stay aligned: what is taught, what is examined, and what the standard actually says. Where any course artifact departs from this curriculum, the artifact is a defect.

2. Audience and prerequisites

Auditors leading second-party and third-party AIMS audits, certification body auditors, and consultants preparing clients for certification.

Prerequisite. ISO/IEC 42001 Lead Implementer is recommended but not required. Candidates entering directly should expect the Lead Implementer material to be covered from the auditor's seat, framed as judgment problems rather than method.

3. Learning architecture

The three implementer track credentials are supersets of one another, not a chain of prerequisites carrying forward untouched material.

Credential	Modules	Treatment
Foundation	M0 to M7	Written at Foundation depth
Lead Implementer	M0 to M16	M0 to M7 rewritten at implementer depth, then M8 to M16
Senior Lead Implementer	M0 to M23	M0 to M16 rewritten at senior depth, then M17 to M23

Why the material is rewritten rather than reused. A module taught at three levels is three different modules. Foundation M3 describes the structure of the standard; Lead Implementer M3 uses that structure to locate requirements and build a clause to artifact map; Senior Lead Implementer M3 interprets the standard where its text admits more than one reading. Sharing one file between credentials would flatten those differences, and it was the mechanism by which factual defects propagated across all six GAICC 42001 credentials. No module file is shared between credentials.

The three auditor track credentials sit in three different seats. Each is written for its own seat, and no module file is shared between them.

Credential	Modules	Seat
Internal Auditor	M0 to M16	First-party audit of the auditor's own organization
Lead Auditor	M0 to M16	Second-party and third-party audit, leading the audit team
Senior Lead Auditor	M0 to M23	Complex engagements across sites, standards and jurisdictions

Depth rule for this credential. Second and third-party audit. The learner leads an audit team under ISO/IEC 17021-1 and ISO/IEC 42006, runs Stage 1 and Stage 2, grades nonconformities as major or minor against the definitions at 3.12 and 3.13 of ISO/IEC 17021-1, and defends a certification recommendation. They are expected to justify a judgment, not only to record one.

Outcome verbs used at this level. lead, evaluate, grade, justify, recommend, defend

4. Delivery

Property	Value
Contact hours	32 hours
Self-paced video	About 57 hours of narrated video, measured from the narration script; final audio may differ slightly. Video viewing time is stated separately from the 32 contact hours; the contact-hour requirement is unchanged.
Module count	17
Delivery modes	Instructor led by a GAICC Authorized Training Partner or Certified Instructor; GAICC self-paced course; or equivalent hours from a GAICC recognized institution
Materials per module	Instructor slide deck with notes, self-paced deck with narration, interactive reveal deck, class handout with notes, workbook section, module quiz
Formative assessment	One 20 item quiz per module, 40 minutes, 15 single answer and 5 multi select

5. Cognitive level and assessment alignment

The examination blueprint mirrors this distribution. Formative quizzes sit at the same cognitive level as the examination they prepare for, never above it.

Cognitive level	Share of examination
Remember	5%
Understand	15%
Apply	35%
Analyze	30%
Evaluate	15%

Difficulty band. moderate to very hard, center of gravity hard. Permitted difficulty vocabulary is easy, moderate, hard and very-hard. No other word is used anywhere a candidate can see.

6. Module schedule

Module	Title	Clauses	Annex
M0	Program Orientation and the Lead Auditor Role	n/a	n/a
M1	The Case for Certification and What It Asserts	1	n/a
M2	The Certification Cycle, Stage 1 and Stage 2	n/a	n/a
M3	Audit Criteria, Terminology and Citation Discipline	2, 3	A, B, C, D
M4	Auditing Context and Ruling on Scope	4, 4.1, 4.2, 4.3, 4.4	n/a
M5	Auditing Leadership and Governance Effectiveness	5, 5.1, 5.2, 5.3	A.2, A.3
M6	Ethics, Impartiality and External Engagement	4.2, 6.1.4	A.5, A.9
M7	Applied Workshop, Designing a Certification Audit	4, 5, 6.1.4	A.2, A.3, A.5, A.9
M8	Auditing Planning and Grading Planning Nonconformities	6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3	A.5
M9	Auditing Support and Evaluating Competence Evidence	7, 7.1, 7.2, 7.3, 7.4, 7.5	A.4
M10	Auditing the Evidence Trail and Testing Traceability	7.4, 7.5, 8.1	A.6.2.3, A.6.2.7, A.6.2.8, A.8
M11	Auditing Operational Control and Testing Effectiveness	8, 8.1, 8.2, 8.3, 8.4	A.6, A.7
M12	Auditing Emerging Risk and Challenging Risk Blindness	6.1.2, 8.2	A.5, A.10
M13	Auditing Performance Evaluation and Audit Readiness	9, 9.1, 9.2, 9.3	n/a
M14	Auditing Improvement and Verifying Corrective Action	10, 10.1, 10.2	n/a
M15	AI-Assisted Auditing and Its Limits	7.1, 9.1	A.4.4
M16	Certification Audit Preparation, Stage 1 and Stage 2	4, 5, 6, 7, 8, 9, 10	A

7. Module specifications

Each specification below states the module title at this credential level, the clauses and controls it covers, the learning outcomes, the core content, and the forward looking material that keeps the module current.

M0. Program Orientation and the Lead Auditor Role

Coverage	Reference
ISO/IEC 42001 clauses	Not clause specific
Annex A controls	Not control specific
Theme	orientation

Learning outcomes

On completion of this module the learner can:

- State the Lead Auditor examination specification: 60 scored items, 90 minutes, four domains weighted 25, 40, 20 and 15 percent
- Distinguish the requirements that bind a certification body under ISO/IEC 17021-1 and ISO/IEC 42006 from the guidance ISO 19011:2026 offers
- Explain the accreditation chain: what accredits the certification body, what the certification body certifies, and what GAICC certifies
- Identify the audit team leader's accountabilities that cannot be delegated

Core content

- How the credential is structured and assessed
- Exam format, cut score, and what the Exam Content Outline governs
- How to use the workbook, handouts and toolkit together
- The Standard Fact Register and why every factual claim is traceable
- Learner conduct, appeals and recertification in outline

Forward looking content

- ISO/IEC 42006:2025, published July 2025, which supplements ISO/IEC 17021-1 for bodies certifying AI management systems
- Remote and hybrid audit activity, anchored on ISO/IEC 42006 clause 9.2.4, deployment of remote audit
- ISO/IEC 17024:2026, the standard for bodies certifying persons that GAICC's schemes are designed against, and its provisions on AI-based assessment tools

M1. The Case for Certification and What It Asserts

Coverage	Reference
ISO/IEC 42001 clauses	1
Annex A controls	Not control specific
Theme	case for an AIMS

Learning outcomes

On completion of this module the learner can:

- Explain precisely what a certificate to ISO/IEC 42001 does and does not assert about an organization
- Distinguish conformity of the management system from performance of the AI systems it governs
- Evaluate a claimed business driver for certification and identify where it exceeds what certification can support

Core content

- What problem an AI management system solves that existing controls do not
- Scope of ISO/IEC 42001 (Clause 1) and what it deliberately excludes
- Why AI needs a management system: opacity, drift, data dependence, autonomy, distributed accountability
- The management system family and the harmonized structure (formerly Annex SL)
- The regulatory and assurance landscape the standard sits inside

Forward looking content

- Certification as evidence in regulatory and procurement contexts, and its limits
- Multi-standard certification and where AIMS scope collides with ISMS scope

M2. The Certification Cycle, Stage 1 and Stage 2

Coverage	Reference
ISO/IEC 42001 clauses	Not clause specific
Annex A controls	Not control specific
Theme	certification mechanics

Learning outcomes

On completion of this module the learner can:

- Sequence the certification cycle under ISO/IEC 17021-1: two-stage initial audit, surveillance at least once a calendar year except in recertification years, recertification before expiry
- State the purpose of Stage 1 and of Stage 2 and justify what belongs in each
- Explain who sets AIMS audit time and where the figures come from
- Evaluate an impartiality scenario against ISO/IEC 17021-1 5.2.5, 5.2.6, 5.2.7 and 5.2.10 and rule on whether the body may proceed

Core content

- How management system certification works: accreditation, certification body, audit stages
- ISO/IEC 42006 and requirements for bodies auditing AI management systems
- Stage 1 and Stage 2 audits, surveillance and recertification
- Nonconformity grading under 3.12 and 3.13 of ISO/IEC 17021-1, and corrective action under its 9.4.9 and 9.4.10
- The distinction between organizational certification and personnel certification

Forward looking content

- ISO/IEC 42006 Annex A as the normative source of AIMS audit time

- Remote deployment of audit activity under ISO/IEC 42006 clause 9.2.4

M3. Audit Criteria, Terminology and Citation Discipline

Coverage	Reference
ISO/IEC 42001 clauses	2, 3
Annex A controls	A, B, C, D
Theme	the standard's architecture and vocabulary

Learning outcomes

On completion of this module the learner can:

- Construct audit criteria from the normative text and defend the boundary of what is auditable
- Rule on whether a proposed nonconformity cites a requirement or an expectation
- Apply the normative status of Annexes A and B and the informative status of C and D when a client disputes a finding

Core content

- Clause 2: exactly one normative reference, ISO/IEC 22989:2022
- The difference between a normative reference, a SOURCE attribution and a Bibliography entry
- Clause 3: 26 defined terms, 3.1 to 3.26, a flat list with no groups
- The four SOURCE attributed terms and the two terms original to ISO/IEC 42001
- Annex status: A and B normative, C and D informative
- Annex A: 38 controls, 9 control clauses, 10 objectives, identifiers A.2.2 to A.10.4
- The harmonized structure and Clauses 4 to 10

Forward looking content

- Citing ISO/IEC 42006 requirements alongside ISO/IEC 42001 criteria without confusing the two

Fact critical module. This module carries facts that were previously taught incorrectly across GAICC materials. Linter rules NR-01, NR-02, NR-03, TM-01, TM-02, TM-04, AX-01, AX-02, AX-03, AX-05 apply. Every artifact for this module must pass the fact linter before release.

M4. Auditing Context and Ruling on Scope

Coverage	Reference
ISO/IEC 42001 clauses	4, 4.1, 4.2, 4.3, 4.4
Annex A controls	Not control specific
Theme	scope and context

Learning outcomes

On completion of this module the learner can:

- Evaluate whether a proposed certification scope is auditable and defensible
- Grade a scope defect as major or minor against 3.12 and 3.13 of ISO/IEC 17021-1 and justify the grade

- Challenge a scope exclusion at the closing meeting with evidence rather than assertion

Core content

- Clause 4.1 external and internal issues, including the climate change determination
- Clause 4.2 interested parties and their relevant requirements
- Clause 4.3 determining the scope of the AI management system
- Clause 4.4 the AI management system itself and its processes
- Determining roles for each AI system, with the roles NOTE 1 to Clause 4.1 lists: provider, producer, customer (including AI users), partner, subject, relevant authority
- How role determines which requirements and controls apply

Forward looking content

- Scope disputes over shadow AI and employee use of general-purpose tools

M5. Auditing Leadership and Governance Effectiveness

Coverage	Reference
ISO/IEC 42001 clauses	5, 5.1, 5.2, 5.3
Annex A controls	A.2, A.3
Theme	leadership, policy, roles

Learning outcomes

On completion of this module the learner can:

- Evaluate whether governance structures are effective or merely present
- Interview top management and extract auditable evidence of commitment
- Grade a leadership nonconformity and defend it against the argument that it is cultural rather than systemic

Core content

- Clause 5.1 leadership and commitment
- Clause 5.2 the AI policy
- Clause 5.3 roles, responsibilities and authorities
- Controls A.2.2 AI policy, A.2.3 alignment with other organizational policies, A.2.4 review of the AI policy
- Controls A.3.2 AI roles and responsibilities, A.3.3 reporting of concerns
- The governing body (3.22) and its relationship to top management (3.3)

Forward looking content

- Board-level accountability for autonomous systems and what evidences it

M6. Ethics, Impartiality and External Engagement

Coverage	Reference
ISO/IEC 42001 clauses	4.2, 6.1.4

Coverage	Reference
Annex A controls	A.5, A.9
Theme	impacts, values, responsible use

Learning outcomes

On completion of this module the learner can:

- Apply the seven principles of auditing in ISO 19011:2026, at 4.2 to 4.8, and the impartiality provisions of ISO/IEC 17021-1 at 5.2.5, 5.2.6, 5.2.7 and 5.2.10
- Rule on whether a prior relationship permits the body to certify, distinguishing the 5.2.5 bar on consultancy from the two-year mitigations at 5.2.6 and 5.2.7 and the personnel rule at 5.2.10
- Report findings truthfully where the client disputes them and commercial pressure is present
- Separate audit from consultancy in the language of a finding

Core content

- What responsible AI means inside a management system, as distinct from ethical aspiration
- Clause 6.1.4 AI system impact assessment as a requirement
- Controls A.5.2 to A.5.5 on assessing impacts on individuals or groups of individuals, and on societies
- Controls A.9.2 to A.9.4 on responsible use of AI systems
- Fairness, transparency, explainability, robustness and safety as engineering properties, not slogans
- Where ISO/IEC 23894, cited in the notes to Clause 6.1.1, supplies risk method

Forward looking content

- Transparency obligations to third parties who rely on a certificate
- Ethics of auditing a client whose AI competes with the certification body's own tools

M7. Applied Workshop, Designing a Certification Audit

Coverage	Reference
ISO/IEC 42001 clauses	4, 5, 6.1.4
Annex A controls	A.2, A.3, A.5, A.9
Theme	consolidation

Learning outcomes

On completion of this module the learner can:

- Plan a Stage 1 and Stage 2 audit for a described client, allocating activity to the correct stage
- Assign an audit team against a competence matrix and justify the allocation
- Produce an audit plan a client and an accreditation assessor would both accept

Core content

- End to end application of everything covered so far to a single worked organization
- From context and scope through policy and roles to a first impact assessment

- Identifying where the organization is not yet conformant, and recording it without advising on the fix

Forward looking content

- Planning an audit where part of the evidence exists only in a live system

M8. Auditing Planning and Grading Planning Nonconformities

Coverage	Reference
ISO/IEC 42001 clauses	6, 6.1, 6.1.1, 6.1.2, 6.1.3, 6.1.4, 6.2, 6.3
Annex A controls	A.5
Theme	risk, SoA, objectives, change

Learning outcomes

On completion of this module the learner can:

- Evaluate whether a Statement of Applicability justifies every inclusion and exclusion across all 38 Annex A controls
- Grade a planning nonconformity as major or minor and defend the grade against 3.12 of ISO/IEC 17021-1
- Test whether risk criteria produce consistent results across different assessors
- Challenge a risk treatment decision that transfers risk without transferring accountability

Core content

- Clause 6.1.1 actions to address risks and opportunities
- Clause 6.1.2 AI risk assessment: criteria, process, repeatability
- Clause 6.1.3 AI risk treatment and the Statement of Applicability
- Clause 6.1.3 b) comparison against the whole of Annex A to verify no necessary control is omitted
- Clause 6.1.4 AI system impact assessment within planning
- Clause 6.2 AI objectives and planning to achieve them
- Clause 6.3 planning of changes
- ISO/IEC 23894 as risk method

Forward looking content

- Auditing risk treatment for systems the client procures rather than builds
- ISO/IEC 23894 alignment as an audit expectation rather than a requirement

Fact critical module. This module carries facts that were previously taught incorrectly across GAICC materials. Linter rule AX-01 applies. Every artifact for this module must pass the fact linter before release.

M9. Auditing Support and Evaluating Competence Evidence

Coverage	Reference
ISO/IEC 42001 clauses	7, 7.1, 7.2, 7.3, 7.4, 7.5
Annex A controls	A.4
Theme	resources, competence, awareness, communication

Learning outcomes

On completion of this module the learner can:

- Evaluate whether competence evidence supports the roles the AIMS depends on
- Grade a competence nonconformity where training exists but capability does not
- Test communication effectiveness rather than communication activity

Core content

- Clause 7.1 resources
- Clause 7.2 competence and Clause 7.3 awareness
- Clause 7.4 communication
- Clause 7.5 documented information: creating, updating and controlling
- Controls A.4.2 to A.4.6 covering resource documentation, data, tooling, system and computing, and human resources

Forward looking content

- Auditing competence for oversight of generative systems
- Communication obligations to affected parties outside the organization

M10. Auditing the Evidence Trail and Testing Traceability

Coverage	Reference
ISO/IEC 42001 clauses	7.4, 7.5, 8.1
Annex A controls	A.6.2.3, A.6.2.7, A.6.2.8, A.8
Theme	documented information and transparency

Learning outcomes

On completion of this module the learner can:

- Evaluate whether documented information is sufficient for the AIMS to be repeatable by someone else
- Grade traceability failures according to whether they affect the capability of the management system to achieve the intended results, the test at 3.12 and 3.13 of ISO/IEC 17021-1
- Sample records to test a control rather than to confirm a document exists

Core content

- What documented information ISO/IEC 42001 explicitly requires
- Clause 7.4 communication: what the client determined, and what its communications leave as evidence
- Control A.6.2.3 documentation of AI system design and development
- Control A.6.2.7 AI system technical documentation
- Control A.6.2.8 AI system recording of event logs
- Controls A.8.2 to A.8.5 information for interested parties
- The Statement of Applicability as a controlled document
- Why the AIMS manual is not a requirement of the standard

Forward looking content

- Auditing automatically generated evidence and its reliability

M11. Auditing Operational Control and Testing Effectiveness

Coverage	Reference
ISO/IEC 42001 clauses	8, 8.1, 8.2, 8.3, 8.4
Annex A controls	A.6, A.7
Theme	operation, life cycle, data

Learning outcomes

On completion of this module the learner can:

- Evaluate implementation and effectiveness of operational controls at Stage 2 rather than their existence
- Test a control by tracing a real transaction through it
- Grade an operational nonconformity where the control is designed correctly but not operating

Core content

- Clause 8.1 operational planning and control
- Clause 8.2 AI risk assessment in operation, Clause 8.3 AI risk treatment, Clause 8.4 AI system impact assessment
- Controls A.6.1.2 and A.6.1.3 management guidance for responsible development
- Controls A.6.2.2 to A.6.2.8 covering the AI system life cycle
- Controls A.7.2 to A.7.6 covering data for AI systems
- Nine controls under A.6 and five under A.7

Forward looking content

- Auditing human oversight, where the client's controls provide for it, for meaningful rather than nominal review
- Operational control for third-party foundation models

Fact critical module. This module carries facts that were previously taught incorrectly across GAICC materials. Linter rules AX-05 apply. Every artifact for this module must pass the fact linter before release.

M12. Auditing Emerging Risk and Challenging Risk Blindness

Coverage	Reference
ISO/IEC 42001 clauses	6.1.2, 8.2
Annex A controls	A.5, A.10
Theme	risk landscape

Learning outcomes

On completion of this module the learner can:

- Evaluate whether a risk methodology is capable of surfacing novel risk at all
- Grade a finding where the register is complete against a methodology that is itself inadequate

- Challenge a client assertion that a risk is out of scope

Core content

- Risk sources catalogued in Annex C and how to use an informative annex properly
- Generative and foundation model risks: hallucination, prompt injection, data leakage, misuse
- Third party and supply chain risk, controls A.10.2 to A.10.4
- Model drift, data drift and performance degradation
- Security risks specific to AI systems

Forward looking content

- Auditing exposure to generative model failure modes with no established control set

M13. Auditing Performance Evaluation and Audit Readiness

Coverage	Reference
ISO/IEC 42001 clauses	9, 9.1, 9.2, 9.3
Annex A controls	Not control specific
Theme	monitoring, audit, review

Learning outcomes

On completion of this module the learner can:

- Evaluate whether monitoring produces information a decision maker can act on
- Verify conduct and effectiveness of internal audits and management reviews as a certification auditor
- Grade a management review nonconformity where the meeting happened and the decisions did not

Core content

- Clause 9.1 monitoring, measurement, analysis and evaluation
- Clause 9.2 internal audit and the audit program
- Clause 9.3 management review, its inputs and outputs
- Choosing indicators that measure AIMS effectiveness rather than activity

Forward looking content

- Assessing readiness where the client's own monitoring is automated and unvalidated

M14. Auditing Improvement and Verifying Corrective Action

Coverage	Reference
ISO/IEC 42001 clauses	10, 10.1, 10.2
Annex A controls	Not control specific
Theme	nonconformity, corrective action, improvement

Learning outcomes

On completion of this module the learner can:

- Evaluate corrective action for its causes, as 10.2 b) requires, rather than its symptoms
- Decide whether verification of effectiveness is sufficient to close a major nonconformity
- Grade a repeat finding and justify escalation

Core content

- Clause 10.1 continual improvement
- Clause 10.2 nonconformity and corrective action
- Cause analysis that reaches system causes rather than individual blame
- Closing the loop from incident to control change

Forward looking content

- Verifying correction where the fix is a model retrain that cannot be inspected

M15. AI-Assisted Auditing and Its Limits

Coverage	Reference
ISO/IEC 42001 clauses	7.1, 9.1
Annex A controls	A.4.4
Theme	tooling

Learning outcomes

On completion of this module the learner can:

- Evaluate the reliability of AI-assisted evidence analysis before relying on it
- Maintain the audit trail when part of the analysis was machine-generated
- Rule on whether AI-assisted sampling satisfies the evidence-based approach

Core content

- Where AI assistance genuinely helps AIMS operation and where it does not
- Control A.4.4 tooling resources for the AI system, and where AIMS tooling falls instead (7.1, A.4.2, A.9.2 to A.9.4, A.10.3)
- Tool assisted evidence gathering, monitoring and documentation drafting
- The governance paradox: governing the tools used to govern AI
- Verification obligations when AI output enters the evidence trail

Forward looking content

- Certification body obligations when audit tooling is itself an AI system

M16. Certification Audit Preparation, Stage 1 and Stage 2

Coverage	Reference
ISO/IEC 42001 clauses	4, 5, 6, 7, 8, 9, 10

Coverage	Reference
Annex A controls	A
Theme	readiness and consolidation

Learning outcomes

On completion of this module the learner can:

- Run Stage 1 to determine readiness and Stage 2 to evaluate implementation and effectiveness, allocating activity correctly
- Lead an audit team: assign work, manage guides and observers, and hold the audit to plan
- Grade nonconformities and produce a defensible certification recommendation
- Handle a disputed finding at the closing meeting

Core content

- Readiness assessment against every clause and all 38 controls
- Assembling the evidence pack
- Common audit findings and how to evidence and grade them
- Examination preparation and the Exam Content Outline

Forward looking content

- Remote deployment of certification audit activity under ISO/IEC 42006 clause 9.2.4
- AIMS audit time under ISO/IEC 42006 Annex A, which is normative

8. Assessment

Property	Value
Formative	One 20 item quiz per module, 17 quizzes in total
Quiz format	15 single answer multiple choice with four options, and 5 multi select with five options and two or three correct
Quiz duration	40 minutes, two minutes per item
Quiz attempts	10
Summative	One certification examination governed by the Exam Content Outline
Blueprint	The examination mirrors the cognitive distribution in section 5
Result	Provisional result on screen at completion; the Certification Decision Authority confirms the certification decision within 72 hours

9. Factual governance

Every factual claim in every artifact built from this curriculum is governed by GAICC-QMS-FR-42001-001 (Standard Fact Register v1.0), which is verified against the licensed text of ISO/IEC 42001:2023, and by GAICC-QMS-FR-AUDIT-001 (Audit Standards Fact Register v1.0), which is verified against the ISO catalog record or official preview of each auditing standard.

The following facts are the ones most often stated incorrectly in AI governance training, including previously in GAICC materials. They are restated here so that no artifact built from this curriculum can repeat them.

Fact	Correct position
Normative references	Clause 2 lists exactly one: ISO/IEC 22989:2022
ISO 9000	Does not appear anywhere in ISO/IEC 42001:2023
Defined terms	26 terms, 3.1 to 3.26, a flat list with no groups
Annex A controls	38 controls, 9 control clauses, 10 objectives
Annex status	A and B are normative; C and D are informative
Control identifiers	A.2.2 to A.10.4; A.6.1 contains only A.6.1.2 and A.6.1.3
3.12 in ISO/IEC 42001	Continual improvement. A grade cites 3.12 or 3.13 of ISO/IEC 17021-1 by name, never a bare number
ISO 19011:2026	Guidance, written in should. Not certifiable, and no nonconformity can be raised against it. The 2018 edition was withdrawn on 27 May 2026
Principles of auditing	Seven, at 4.2 to 4.8 of ISO 19011:2026
ISO/IEC 17021-1:2015	Defines nonconformity, major nonconformity and minor nonconformity at 3.11, 3.12 and 3.13. Under 9.4.5, a nonconformity is never recorded as an opportunity for improvement

Fact	Correct position
ISO/IEC 42006:2025	Published July 2025. Supplements ISO/IEC 17021-1 and does not replace it. Audit time sits at 9.1.4, with Annex A normative
ISO/IEC 42005:2025	Guidance on AI system impact assessment. Criteria only where the client adopts it. Awareness level.
ISO/IEC 17024:2026	Sets requirements for bodies that certify persons, and GAICC's schemes are designed against it. The 2012 edition was withdrawn on 31 March 2026

Instructors must not supplement these facts from memory or from secondary sources. Where a learner question cannot be answered from the Fact Registers, it is escalated rather than answered speculatively.

10. Revision history

Version	Date	Change
1.0	25 August 2026	First issue. Establishes the superset module architecture, restates the corrected fact base, and adds forward looking content to every module.
1.1	29 September 2026	Reissued against the Audit Standards Fact Register and the finished Lead Auditor course. The examination is stated as 60 items, all scored. Grades cite 3.12 and 3.13 of ISO/IEC 17021-1 by name. The impartiality outcomes cite 5.2.5, 5.2.6, 5.2.7 and 5.2.10 as the register carries them, and 5.2.10 is no longer called a mitigation. The claim that ISO 19011:2026 expanded remote auditing is removed, and remote audit is anchored on ISO/IEC 42006 clause 9.2.4. ISO/IEC 42005 is removed. The NOTE 1 to Clause 4.1 roles are corrected. M10 adds Clause 7.4. The auditor track table and the audit standard facts are added. The self-paced materials are listed, and spelling is American English outside quoted standard wording. Module numbering, titles, domains and tasks are otherwise unchanged.
1.2	12 October 2026	Reissued to match the corrected Lead Auditor course. The harmonized structure is described as formerly Annex SL. The AI roles add AI customer (including AI users), as NOTE 1 to Clause 4.1 lists them. The A.4.4 content distinguishes tooling resources for the AI system from the tools used to operate the AIMS. ISO/IEC 42005:2025 is added to the audit standard facts at awareness level, as the course teaches it in M8. The result wording matches the Examination Content Outline. Self-paced video viewing time (about 57 hours, measured from the narration script) is stated separately from the 32 contact hours, which are unchanged. Module numbering and titles are unchanged.