GAICC FAIGP

FINANCIAL AI GOVERNANCE PROFESSIONAL

Candidate Handbook –
1st Edition | 2026 | v1.0

Global AI Certification Council **(GAICC)**

Candidate Handbook

v1.0 | 2026 · GAICC-CH-FAIGP-001

Global AI Certification Council

The Certification at a Glance

80 items	32 hours	60 CPDs
120 minutes · Scenario-based	Required training	Every 3 years · Renewal

Certification	GAICC Financial AI Governance Professional (GAICC-FAIGP)
Handbook code	GAICC-CH-FAIGP-001
Version	1.0
Issued	23 October 2026
Frameworks	EU AI Act (Regulation (EU) 2024/1689), as amended by Regulation (EU) 2026/1744; Digital Operational Resilience Act; EBA AI Act mapping factsheet and EIOPA AI Opinion; Consumer Credit Directive (EU) 2023/2225; MiFID II RTS 6 (Delegated Regulation (EU) 2017/589) and ESMA algorithmic trading briefing; GDPR Article 22 and UK GDPR automated decision-making rules; and others listed in the ECO
Level	Tier 3, Senior and Specialty (sector professional)

Published by: Global AI Certification Council Limited

gaicc.org · certifications.gaicc.org

©2026 Global AI Certification Council. All rights reserved. “GAICC,” the GAICC logo, “Financial AI Governance Professional,” “FAIGP,” “Certified Professional in AI Governance,” “CPAIG,” and related marks are trademarks of the Global AI Certification Council.

Contents

The Certification at a Glance 2

1 About this Certification 4

2 Eligibility & Prerequisites 5

3 The Exam at a Glance 5

4 What the Exam Tests 6

5 Booking, Identity & Exam-Day Conduct 6

6 Proctoring & Exam Security 7

7 Results & Feedback 7

8 Retake Policy 7

9 Fees 7

10 Certification, Validity & Recertification 8

11 Reasonable Adjustments & Accessibility 8

12 Use of the Certificate & the GAICC Marks 9

13 Code of Ethics 9

14 Appeals, Complaints & Misconduct 9

15 Privacy & Contact 9

1 About this Certification

The FAIGP confirms that a senior practitioner can govern predictive, generative and agentic AI across banking, insurance, capital markets and payments. Holders apply model risk, fairness, conduct, data, third-party and resilience requirements across major jurisdictions, and produce the evidence that boards, auditors and supervisors expect.

Banks, insurers, asset managers, payment firms and fintechs now use AI in decisions that move money and decide access to credit, cover and advice: credit and underwriting, pricing, claims, fraud and AML detection, trading, servicing and treasury. These uses sit inside some of the most heavily supervised activities in the economy, where model risk, conduct, prudential, data protection and operational resilience rules all apply at once. Generative and agentic AI add failure modes, such as inaccurate AI-written customer communications and agents that can initiate payments, that classic model risk management was not designed to catch.

FAIGP holders translate these overlapping regimes into one proportionate control framework. They tier AI by materiality, challenge and validate machine learning and large language models, test credit and insurance AI for unfair discrimination, govern third-party and foundation model dependencies under DORA and equivalent regimes, engineer controls for AI agents, and produce the evidence that boards, auditors and supervisors expect. The credential is equally relevant to the technology, consulting and services firms that build and run AI for financial institutions as ICT third-party providers, and to supervisory staff who examine it.

What certified professionals can do

01 Map AI use cases to accountable senior managers, risk appetite and a materiality-tiered inventory.

02 Interpret EU, US, UK and APAC financial AI rules and build one obligations map.

03 Validate machine learning and large language models with credible effective challenge and monitoring.

04 Test credit and insurance AI for proxy discrimination and produce accurate adverse action reasons.

05 Govern financial data, privacy and financial crime AI to examiner-ready standards.

06 Manage AI third-party, foundation model and concentration risk under DORA and equivalent regimes.

07 Engineer controls for AI agents, including identity, limits, dual approval and kill switches.

08 Assure AI through audits, incident reporting and board risk reporting against appetite.

2 Eligibility & Prerequisites

Certification is open to anyone who completes the training requirement. It is designed for practitioners in banking, insurance, capital markets and payments and for the technology, consulting and services professionals who build, deliver or assure AI for that sector.

Training requirement. 32 contact hours of FAIGP training, completed before the exam. This is the only eligibility requirement. Hours are counted per certification and do not transfer.

Training route	What it involves
GAICC self-paced course	Structured eLearning on the GAICC platform with a certificate of completion.
GAICC Authorised Training Partner or Certified Instructor	Instructor-led, in person or live online, delivered to the 32-hour GAICC curriculum.
GAICC-recognised institution	A university, professional body or employer programme that GAICC has recognised as covering the Examination Content Outline.

Recommended experience. At least five years in financial services risk, compliance, model development or validation, audit, or technology delivery to financial institutions, including two years working with AI or quantitative models, is recommended. Experience is recommended, not required, and is never used to refuse a candidate. No GAICC certification requires work experience.

Recommended prior credential. The GAICC Certified Professional in AI Governance (CPAIG), or equivalent foundational AI governance knowledge, is recommended but not required.

3 The Exam at a Glance

Item	Detail
Examination	80 questions (70 scored + 10 unscored pilot)
Duration	120 minutes
Pass mark	70% of scored items (scaled score of 350 on a 100 to 500 scale)
Format	Scenario-based multiple choice, single best answer from four options, no negative marking
Delivery	Online with AI-assisted proctoring through the GAICC Hub, available 24/7. A person makes any final integrity decision
Conditions	Closed book. No reference material, notes or AI tools
Training requirement	32 contact hours, completed before the exam
Validity and renewal	3 years · 60 CPD hours per cycle
Credential awarded	GAICC Financial AI Governance Professional (GAICC-FAIGP)

4 What the Exam Tests

The examination follows the Examination Content Outline, which lists every task and knowledge statement. Domain weightings are:

Dom.	Title	Weight	Items
I	Financial Services AI Landscape and Accountability	10%	7
II	Financial AI Regulation and Supervisory Expectations	15%	11
III	Model Risk Management for AI	15%	11
IV	Fairness, Explainability and Consumer Outcomes	13%	9
V	Data Governance, Privacy and Financial Crime AI	11%	8
VI	Third-Party, Concentration and Operational Resilience	12%	8
VII	Generative, Agentic and Emerging AI in Finance	12%	8
VIII	Assurance, Audit, Incidents and Board Reporting	12%	8
	Total scored items	**100%**	**70**

Cognitive level	Share of scored items	What it looks like
Understanding	25% (about 18 items)	Explain concepts, obligations and the purpose of controls in the sector context.
Application	45% (about 32 items)	Apply frameworks, regulations and controls to realistic sector scenarios.
Analysis and Evaluation	30% (about 21 items)	Weigh competing risks and obligations, judge evidence, and choose the most defensible course of action.

5 Booking, Identity & Exam-Day Conduct

- Create your candidate account at certifications.gaicc.org, upload your training certificate and accept the Code of Ethics.
- Applications are reviewed within five calendar days. Once your training evidence is verified, pay the examination fee and book an online proctored session at a time that suits you.
- Bring, or show on camera, a current government-issued photo ID that matches your registered name exactly.
- For online exams, use a private, quiet room with a clear desk, a working webcam and microphone, and a stable connection. Run the system check beforehand.
- No notes, books, phones, smart watches, second screens or AI tools are permitted. You may not copy, record or share any examination content.
- Rescheduling and cancellation windows are shown in your candidate account at the time of booking.

6 Proctoring & Exam Security

Sessions use AI-assisted proctoring and are recorded. Every flag is reviewed by a person, and a person makes any final integrity decision. Suspected misconduct may lead to the session ending, results being withheld, and sanctions under Section 14.

7 Results & Feedback

You receive a provisional pass or fail result at the end of the examination. Your official result, including a scaled score and performance feedback by domain, is confirmed in your candidate account after GAICC quality checks. Pilot items do not count towards your score.

8 Retake Policy

If you do not pass, you have one complimentary retake within your 12-month eligibility period. Review your domain feedback first; a short waiting period may apply. Further attempts need a new registration and the examination fee. You do not need to repeat the training requirement to retake within the eligibility period.

9 Fees

Item	Fee
Annual Membership	**US$99 per 12 months (optional, purchased separately)**
Examination fee, non-member / member	**US$299 / US$199**
Certification renewal, per three-year cycle, non-member / member	**US$119 / US$69**
Re-examination fees	**Published annually at www.gaicc.org**

Training fees are set by training providers and are not part of the certification fees.

10 Certification, Validity & Recertification

- The credential is valid for 3 years from the certification decision.
- Earn 60 CPD hours in each three-year cycle, split Technology 27, Humanity 12 and Strategy 21.
- Hours can be earned through financial services AI governance work such as risk and impact assessment, assurance and audit, incident handling, policy and control design, teaching, writing, standards work and structured learning.
- Reaffirm the GAICC Code of Ethics, remain in good standing and pay the renewal fee.
- A three-month grace period applies after expiry. After the grace period, re-examination is required.
- Re-examination is also required where CPD evidence is insufficient, the credential has lapsed, or a major scheme change requires it.

Competency (GAICC AI Competence Triangle)	What it covers	CPD hours
Technology	AI systems, data, models, tooling and technical controls.	27
Humanity	Ethics, human oversight, fairness, transparency and impact on people.	12
Strategy	Governance, policy, risk, assurance and organisational alignment.	21
Total per three-year cycle	No elective category. Every hour maps to one competency.	**60**

The renewal fee is US$119 for non-members and US$69 for members per three-year cycle. This Handbook and the GAICC Recertification Procedure (GAICC-QMS-PRO-REC-001) are the authority on renewal.

11 Reasonable Adjustments & Accessibility

Request adjustments when you book, with supporting evidence, at least 20 working days before your preferred date. Adjustments may include extra time, rest breaks, screen readers or a separate room. Adjustments never change what the examination measures.

12 Use of the Certificate & the GAICC Marks

While your certificate is current you may use the post-nominal “FAIGP” and the GAICC digital badge. Do not use them to suggest that an organisation, product or service is certified, and stop using them if your certificate expires, is suspended or is withdrawn.

13 Code of Ethics

You agree to act with integrity and competence, protect the people affected by AI systems, respect confidentiality, avoid and declare conflicts of interest, be honest about the limits of your competence and of AI systems, and report serious risks through appropriate channels. You reaffirm the Code at every renewal.

14 Appeals, Complaints & Misconduct

You may appeal a result or decision within 30 days by writing to certify@gaicc.org. Appeals are reviewed by people who were not involved in the original decision. Misconduct, including sharing examination content, impersonation or falsified training evidence, can lead to voided results, a ban from future examinations, and withdrawal of any GAICC certification.

15 Privacy & Contact

GAICC processes your personal information only to deliver certification services, under its privacy policy and applicable privacy law, including the New Zealand Privacy Act 2020.

Contact	Details
Certification and exams	certify@gaicc.org
Candidate support	support@gaicc.org
Web	gaicc.org · certifications.gaicc.org
Australia	Level 2, 697 Collins Street, Melbourne VIC 3008
New Zealand	Level 3, 21 Putney Way, Manukau, Auckland 2104
United States	3900 Westerre Pkwy, Richmond, VA 23233

Global AI
Certification Council

GAICC FAIGP

FINANCIAL AI GOVERNANCE PROFESSIONAL

Governing predictive, generative and agentic AI across banking, insurance, capital markets and payments, to the standard boards, auditors and supervisors expect.

gaicc.org · certifications.gaicc.org · support@gaicc.org

Candidate Handbook | GAICC-CH-FAIGP-001 | v1.0 | 2026 · ©2026 Global AI Certification Council. All rights reserved.