Global AI Certification Council

GAICC
ISO/IEC 42001 INTERNAL AUDITOR

Examination Content Outline – October 2025

Global AI Certification Council **(GAICC)**

Examination Content Outline
October 2025

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Introduction

The **Global AI Certification Council (GAICC)** offers a professional credential for artificial-intelligence governance and assurance practitioners known as the **GAICC ISO/IEC 42001 Internal Auditor (IA).**

This certification validates a practitioner's competence to **plan, perform, document, and report internal audits** of an **Artificial Intelligence Management System (AIMS)** in accordance with **ISO/IEC 42001: 2023** and **ISO 19011: 2018.** It affirms the ability to apply audit principles within one's own organisation—evaluating conformance, effectiveness, and continual-improvement processes across AI lifecycle activities.

The Internal Auditor credential represents applied proficiency in assessing an organisation's AIMS from a **first-party perspective**—verifying risk assessments, ethical-AI controls, operational consistency, and the maturity of documentation and evidence management within established governance boundaries. Internal auditors ensure that AI operations remain transparent, compliant, and continually improving under organisational oversight.

GAICC develops and administers all certifications in alignment with **ISO/IEC 17024** (Conformity assessment – General requirements for bodies operating certification of persons) to ensure impartiality, transparency, and global validity. Each GAICC examination is **competency-based,** derived from global practice analyses, and psychometrically validated to reflect the real-world responsibilities of AI-governance and assurance professionals.

This **Examination Content Outline (ECO)** defines:
- The domains of competence required of a certified Internal Auditor.
- The tasks and enabling activities that demonstrate capability within each domain.
- The relative weighting of each domain in the overall assessment.

The **Internal Auditor examination** evaluates the knowledge and skills needed to conduct independent and objective internal audits of an AIMS—covering audit planning, execution, evidence evaluation, reporting, and verification of corrective actions within a single organisation or business unit.

All questions are authored and validated by international subject-matter experts from industry, academia, and government to ensure accuracy, fairness, and applicability across diverse sectors. Each examination item maps directly to the **GAICC ISO/IEC 42001 Internal Auditor ECO** and references authoritative sources including **ISO/IEC 42001, ISO 19011,** GAICC Guidance Documents, and companion ISO standards such as **ISO 9001 (Quality Management), ISO/IEC 27001 (Information Security Management),** and **ISO/IEC 27701 (Privacy Information Management).**

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This document serves both as the **blueprint for the GAICC Internal Auditor examination** and as a professional-development guide for individuals and organisations seeking to strengthen internal-audit capability and reinforce **responsible, transparent, and ethical AI-governance practice.**

Exam Content Outline

The **GAICC ISO/IEC 42001 Internal Auditor (IA) e**xamination measures a candidate's ability to **apply auditing principles and techniques** to plan, perform, and report **first-party (internal) audits** of an **Artificial Intelligence Management System (AIMS)** in accordance with **ISO/IEC 42001: 2023** and **ISO 19011: 2018.**

The outline below defines the major domains of professional competence and the approximate weighting of questions from each domain on the examination.
Each domain comprises a set of **tasks** — the key responsibilities expected of an Internal Auditor — and corresponding **enablers**, which illustrate the knowledge, behaviours, and evidence demonstrating competence in practice.

The distribution ensures **balanced coverage across the full AIMS audit lifecycle**, spanning governance and leadership, AI-specific risk control, ethical and transparency assurance, and stakeholder engagement within responsible AI ecosystems.

The distribution ensures balanced coverage across the **full AIMS internal-audit lifecycle**, encompassing:
- Planning and preparation of internal AIMS audits.
- Evaluation of implementation, operational control, and documentation.
- Verification of performance, corrective actions, and continual improvement.
- Ethical, objective, and transparent conduct consistent with ISO 19011 and responsible-AI principles.

Domains and Weightings

Domain	Description	Approximate Weight on Exam
I. Governance, Planning, and Preparation of the AIMS Internal Audit	Evaluating how the organisation defines AIMS scope, governance structure, and audit boundaries; planning audit objectives, criteria, and risk-based sampling in alignment with ISO/IEC 42001 Clause 6 and ISO 19011 principles.	25 %

II. Conducting the Internal Audit and Evaluating Implementation	Performing internal audits of AIMS implementation and operation — reviewing AI-risk assessments, impact analyses, data-governance, lifecycle controls, competence, and documented information; confirming evidence of conformity and effectiveness.	35 %
III. Performance Evaluation, Corrective Action, and Continual Improvement	Assessing monitoring, measurement, internal-audit results, and management reviews; verifying closure of nonconformities and effectiveness of corrective actions to ensure ongoing improvement of the AIMS.	25 %
IV. Ethics, Objectivity, and Professional Conduct in Internal Auditing	Demonstrating impartiality, confidentiality, and responsible-AI ethics during internal audits; communicating findings transparently and upholding the principles of fairness, accountability, and data protection.	15 %
Total		100%

Domain, Task and Enablers

In the **GAICC ISO/IEC 42001 Internal Auditor (IA)** framework, a **domain** represents a key area of professional competence required to plan, conduct, and report **first-party** (internal) audits of an organisation's **Artificial Intelligence Management System (AIMS).**

Each domain comprises:
- **Tasks** — the measurable responsibilities of an Internal Auditor, including preparing audit plans, gathering and analysing evidence, identifying nonconformities, and verifying corrective actions.
- **Enablers** — examples of tangible evidence, behaviours, or actions that demonstrate these competencies in practice.

Enablers are **illustrative rather than exhaustive**, allowing flexibility across different sectors, audit scopes, and organisational maturity levels. They are designed to accommodate single-site or multi-departmental AIMS audits conducted by internal teams seeking to verify conformance, effectiveness, and continual improvement within their own organisation.

Domain I – Governance and Leadership in AI Management Systems (≈ 25 %)

Purpose:
To evaluate how leadership demonstrates commitment, policy direction, and accountability so that the organisation's use of artificial intelligence aligns with its strategic goals, ethical values, and legal obligations.

Task	Illustrative Enablers / Examples
1. Define the scope, objectives, and criteria of the internal audit.	Documented audit plan specifying purpose, boundaries, and applicable clauses of ISO/IEC 42001; linkage to organisational objectives, risk registers, and AI use-case coverage.
2. Review AIMS context and governance structure before the audit.	Context and interested-party registers, governance charts, policy statements, and AI-ethics commitments demonstrating management accountability (Clause 4–5).
3. Identify and assess AI-related risks and planning records as audit inputs.	Risk and impact registers, AI-SIA documentation, treatment plans, and change-management logs referenced in Clause 6 planning.
4. Develop a risk-based internal-audit programme and schedule.	Annual audit calendar prioritising high-impact AI processes; documented rationale for frequency and sampling; approval from Responsible AI Officer or management representative.
5. Select appropriate audit methods, sampling techniques, and team members.	Audit-method selection matrix (interview, document review, observation); competence matrix for internal auditors; sampling plans proportionate to process risk.
6. Prepare audit tools, checklists, and evidence templates.	Internal audit checklist mapped to ISO 42001 clauses; AI-specific question sets; evidence-collection forms; confidentiality agreements.

7. Conduct opening-meeting and communication planning.	Opening-meeting agenda, participant list, communication protocol, confirmation of scope and timing, and escalation path for issues.
8. Demonstrate impartiality, confidentiality, and professional behaviour during planning.	Signed auditor declarations of independence, code-of-conduct acknowledgement, and adherence to GAICC ethical-AI audit principles.

Domain II – Audit of Implementation and Operational Control of the AIMS (≈ 40 %)

Purpose:

To assess how effectively the organisation has implemented and operates its AIMS in accordance with ISO/IEC 42001, verifying that robust controls exist for AI-risk management, data governance, and system-lifecycle operations.

Task	Illustrative Enablers / Examples
1. Conduct the internal audit according to the approved audit plan and schedule.	Documented audit plan execution; adherence to agreed scope, timing, and sampling strategy; maintenance of impartiality and objectivity during evidence collection.
2. Review implementation of AI-risk and impact-assessment processes (Clauses 6 & 8).	AI-SIA records, risk registers, mitigation plans, and evidence of updates following change requests or incidents.
3. Verify adequacy of operational planning and control (Clause 8.1).	Procedures describing AI development, testing, and deployment; logs showing approval gates and rollback protocols; alignment of controls with Annex A guidance.
4. Audit data-governance and lifecycle-management controls.	Data-quality records, bias-detection evidence, access-control logs, model-validation reports, and version-management documentation.
5. Evaluate competence, awareness, and communication supporting AIMS operations (Clauses 7.1–7.4).	Competence matrix, training records, communication matrices, and examples of AI-ethics or privacy briefings.

6. Review control of documented information (Clause 7.5).	Version history, retention schedule, and access-permission records verifying document integrity and traceability.
7. Use appropriate audit techniques to collect and verify evidence.	Interviews with AI-system owners, observations of operational activities, sampling of process logs, and cross-checking of results across data sources.
8. Identify and classify findings based on objective evidence.	Clear documentation of nonconformities, observations, and opportunities for improvement (OFIs); linkage of each finding to the relevant clause or control.
9. Maintain effective communication with auditees throughout audit execution.	Daily summaries or debrief notes, clarification of evidence requests, and management of time or scope adjustments when required.
10. Conduct closing-meeting activities and confirm mutual understanding of findings.	Closing-meeting minutes, sign-off sheets, agreed corrective-action timelines, and next-steps communication plan.

Domain III – Performance Evaluation, Audit Readiness, and Continual Improvement (≈ 20 %)

Purpose:
To verify that internal auditors can assess how effectively the organisation monitors, measures, and improves the Artificial Intelligence Management System (AIMS) — ensuring that nonconformities are addressed, corrective actions are verified, and lessons learned feed into continual improvement cycles in line with ISO/IEC 42001 Clauses 9 and 10.

Task	Illustrative Enablers / Examples
1. Evaluate the adequacy of AIMS monitoring and measurement processes (Clause 9.1).	Performance dashboards tracking fairness, model drift, bias detection, and reliability; KPI registers linked to AI objectives; documented analysis of trends and deviations.
2. Audit the internal-audit programme itself for effectiveness and follow-up (Clause 9.2).	Annual audit plan and records; evidence of independence, competence, and follow-up; nonconformity closure verification; lessons integrated into the next audit cycle.
3. Review management reviews for completeness and decision-making quality (Clause 9.3).	Meeting minutes, action trackers, and follow-up summaries showing top management evaluation of AIMS performance and AI-risk trends.
4. Audit the nonconformity and corrective-action process (Clause 10.2).	CAPA logs, root-cause analysis records, verification-of-effectiveness reports, and documented approvals of completed actions.
5. Verify evidence of continual improvement (Clause 10.1).	Improvement registers, updated policies, revised training content, and post-incident reviews that demonstrate proactive learning and adaptation.
6. Assess traceability between findings, corrective actions, and AIMS updates.	Linkage between audit reports, CAPA records, and revised procedures showing feedback integration.
7. Identify opportunities for improvement (OFIs) beyond compliance.	Examples of risk-control optimisations, new AI ethics indicators, or process simplification suggestions that strengthen AIMS maturity.

Domain IV – Ethics, Objectivity, and Professional Conduct in Internal Auditing (≈ 15 %)

Purpose:
To ensure that internal auditors demonstrate integrity, impartiality, and professionalism when planning, conducting, and reporting AIMS internal audits — upholding the principles of responsible AI governance, confidentiality, and ethical conduct as defined in ISO 19011 and GAICC's Code of Professional Ethics.

Task	Illustrative Enablers / Examples
1. Uphold integrity, impartiality, and objectivity throughout the audit process.	Signed independence declarations; conflict-of-interest reviews; adherence to ISO 19011 ethical principles and GAICC's Code of Conduct.
2. Maintain confidentiality and protect sensitive AI and organisational data.	Secure handling of audit records and AI logs; compliance with data-protection policies; anonymisation of evidence where necessary.
3. Apply responsible-AI principles in auditing decisions and findings.	Verification that audit recommendations consider fairness, accountability, transparency, and human well-being; avoidance of bias in evidence interpretation.
4. Communicate audit findings accurately and respectfully.	Clear, fact-based reporting style; balanced tone distinguishing facts from opinions; constructive feedback phrased for improvement rather than blame.
5. Demonstrate professional behaviour and cultural sensitivity.	Respectful interaction with diverse teams; observance of organisational protocols; awareness of local, social, and cultural contexts affecting AI governance.
6. Respond appropriately to ethical concerns or potential misconduct.	Documentation and escalation of observed ethical breaches or AI misuse; following organisational whistleblower or incident-reporting protocols.
7. Support a culture of continual learning and professional accountability.	Participation in ethics or bias-awareness training; mentoring junior auditors; commitment to CPD and self-improvement.

Cognitive Depth and Assessment Approach

The **GAICC ISO/IEC 42001 Internal Auditor (IA)** examination assesses a candidate's applied understanding of ISO/IEC 42001 and ISO 19011 — focusing on the ability to plan, conduct, and report **first-party internal audits** with professionalism, technical accuracy, and ethical awareness.

The assessment evaluates competence across **three progressive cognitive levels**, consistent with ISO 19011 guidance on auditor competence and international certification best practices.

1. Understanding – Foundational Comprehension

Candidates must demonstrate solid knowledge of:
- ISO/IEC 42001 structure, clauses, and Annexes.
- The principles of AI governance, ethics, and lifecycle management.
- Audit principles defined in ISO 19011 (integrity, evidence-based approach, confidentiality, independence).

At this level, the focus is on identifying, recalling, and interpreting key audit and governance concepts.

2. Application – Practical Execution of Internal Audits
Candidates are expected to apply auditing techniques to real-world internal-audit situations, including:

- Planning and preparing audit programmes aligned to AIMS risk and scope.
- Collecting and verifying objective evidence through document review, interviews, and observation.
- Classifying findings (conformities, nonconformities, and opportunities for improvement).

This level tests practical competence — the ability to use knowledge to evaluate conformity, effectiveness, and improvement potential within the AIMS.

3. Analysis and Evaluation – Professional Judgement and Communication

At the highest cognitive level for internal auditors, candidates must demonstrate:

- Analytical reasoning when interpreting evidence or resolving discrepancies.
- Evaluation of AIMS performance data, trends, and corrective-action results.
- Effective communication of audit results in clear, balanced reports that support continual improvement.

This level measures the auditor's capacity for ethical reasoning, critical analysis, and objective evaluation of audit evidence.

GAIIC Application & Payment

GAICC Eligibility Requirements

To qualify for the **GAICC ISO/IEC 42001 Internal Auditor (IA)** certification, candidates must demonstrate appropriate education, practical experience, and structured training aligned with the competencies required to plan, perform, and report internal audits of an organisation's **Artificial Intelligence Management System (AIMS)** in accordance with **ISO/IEC 42001: 2023** and **ISO 19011: 2018.**

Educational Background	Professional Experience	Formal Training Requirement
Diploma or equivalent	Minimum two (2) years of cumulative professional experience, including roles involving internal audits, ISO-based management systems, AI governance, or risk/compliance operations.	Completion of at least 32 contact hours (CPDs) of structured GAICC-approved Internal Auditor training (Modules 1–16).
Bachelor's degree or higher	Minimum one (1) year of relevant experience, including documented participation in internal or departmental audits of AI systems, data-governance processes, or other management frameworks.	Completion of at least 32 contact hours (CPDs) of structured GAICC-approved Internal Auditor training (Modules 1–16).

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Additional Notes

- Experience must include participation in at least one complete internal audit cycle (planning → fieldwork → reporting → follow-up) within an organisation's AIMS or other ISO management system.
- Training hours must be obtained through GAICC-authorised providers or recognised institutions delivering courses mapped to **ISO/IEC 42001** and **ISO 19011** internal-audit competencies.
- Individuals who have completed the **GAICC ISO/IEC 42001 Foundation** certification automatically meet the prerequisite knowledge requirement and may apply directly for the Internal Auditor examination upon completion of the Internal Auditor training modules.

How to Record Training on the Application

Candidates must record their professional experience using the **GAICC Online Certification Portal**, providing clear and verifiable details that demonstrate their ability to plan, conduct, and report **internal audits** of an **Artificial Intelligence Management System (AIMS)** in accordance with **ISO/IEC 42001** and **ISO 19011.**

Required information includes:

- **Name of organisation or department** where internal audit or compliance activities were performed.
- **Role title and key responsibilities**, highlighting participation in internal audits, risk reviews, or process evaluations.
- **Audit or engagement description**, specifying examples such as:
  - Conducting internal AIMS audits within a business unit or project team.
  - Auditing AI risk assessments, data governance, or lifecycle-management controls.
  - Reviewing corrective-action effectiveness and continual-improvement evidence.
  - Participating in cross-functional internal-audit reviews with IT, compliance, or data teams.
- **Start and end dates** of each engagement or employment period relevant to AIMS internal-audit experience.

Training-completion certificates must be uploaded with the application to confirm successful completion of the **32 CPDs** of GAICC-approved Internal Auditor training (Modules 1–16).

Academic study or research in AI ethics, governance, or management systems is encouraged and supports professional context but **does not substitute** for applied internal-audit experience.

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Recording the Duration of Experience

Experience is measured in **months**, not by number of audits or projects.
If two engagements overlap, the shared months are counted once.

Example:
January–June of AIMS internal-audit participation equals six months of total experience, even if multiple audits occurred concurrently.

Applicants should describe each engagement separately, specifying:
- Audit scope and purpose.
- Relevant clauses or functions audited.
- Organisational unit or process evaluated.
- Specific responsibilities (planning, evidence review, report preparation).

All descriptions must be accurate, concise, and verifiable through employer or supervisor confirmation if requested.

Application Processing

GAICC is committed to a **transparent and timely certification process.**

- Average processing time for complete submissions is **five (5) calendar days.**
- Applications selected for audit or verification may require additional time for confirmation of training or employment details.
- Candidates are notified by email once their application is **approved**, or if further documentation is required.

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GAIIC Examination Information

The **GAICC ISO/IEC 42001 Internal Auditor (IA)** examination is a **competency-based assessment** designed to evaluate a candidate's ability to **plan, perform, and report internal audits** of an **Artificial Intelligence Management System (AIMS)** in accordance with **ISO/IEC 42001: 2023** and **ISO 19011: 2018.**

It measures applied proficiency in conducting independent and objective internal audits within an organisation, focusing on risk-based thinking, evidence evaluation, and continual-improvement verification.

The examination tests the candidate's ability to:

- Plan and prepare AIMS internal audits aligned with ISO/IEC 42001 Clause 6 (Planning).
- Evaluate operational controls, AI-risk treatments, and compliance evidence (Clauses 7–8).
- Assess monitoring, measurement, and corrective actions for improvement (Clauses 9–10).
- Communicate findings objectively and uphold ethical, impartial audit conduct.

Exam Structure

Component	Description
Total Questions	60
Format	Multiple-choice and scenario-based questions simulating internal audits of AI systems, departments, or organisational functions — delivered through the GAICC Online Testing Platform.
Allotted Time	90 minutes (1.5 hours) total testing time.
Pre-Exam Tutorial & Post-Exam Survey	A brief interactive tutorial precedes the exam, and an optional survey follows it. Neither counts toward the official exam duration.

Exam Delivery

The IA examination is available as **online AI-proctored** or **centre-based testing**. All questions appear in random order. Once a section is submitted, answers cannot be revisited.

The GAICC testing platform includes:
- Built-in timer and progress bar.
- Flag-for-review function for marked questions.
- Automatic submission at time expiry to ensure fairness and consistency.

Scoring and Results

- Each scored question is worth **one (1) mark.**
- Results are reported **immediately upon completion** of the exam.
- Feedback is provided by domain:
  - Governance, Planning, and Preparation
  - Implementation and Operational Control
  - Performance and Continual Improvement
  - Ethics and Professional Conduct
- A minimum **scaled score of 70%** is required to pass.

All scores are verified through GAICC's **psychometric calibration process** to maintain fairness and comparability across testing sessions and delivery modes.

Retake Policy

GAICC upholds a fair, learner-centred retake approach:

- Each candidate receives **one complimentary retake** within the **one-year eligibility period** if the first attempt is unsuccessful.
- Additional retakes (beyond the complimentary attempt) may be scheduled at a **discounted re-examination fee**.
- All retakes must occur within the original eligibility window.

Eligibility Period and Re-application

The eligibility period begins on the date of **application approval** and remains valid for **twelve (12) months.**

If a candidate does not attempt or pass the exam within this timeframe, the application expires and a **new application** must be submitted.

Additional Information

GAICC conducts regular **psychometric reviews** to preserve exam validity, reliability, and global relevance.

If an exam session is affected by confirmed **technical issues or system irregularities**, the candidate will be offered a **free re-examination** without penalty.

GAICC ISO/IEC 42001 Internal Auditor – Fees

Membership Fees

Zone	Standard Membership (USD)	Student Membership (USD)
Zone A	99	39
Zone B	69	29
Zone C	49	19

Examination Fees

Zone	Exam Fee – Member (USD)	Exam Fee – Non-Member (USD)
Zone A	299	425
Zone B	249	375
Zone C	199	275

Notes:

- All fees are quoted in **USD** and may be subject to local taxes or payment-processing charges.
- **Membership is optional**, but it provides discounted exam fees and access to GAICC professional-development resources.
- Each candidate is entitled to **one complimentary retake** within the **one-year eligibility period**.
- Payments may be made via **credit card** or **international bank transfer** through the GAICC Certification Portal.
- Renewal and re-examination fees are published annually on the **GAICC official website.**

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GAICC Certification Maintenance and Renewal Requirements

Continuing Professional Development (CPD) Requirements

During each three-year certification cycle, GAICC-certified Internal Auditors must earn and record a minimum of **30 Continuing Professional Development (CPD) hours**, distributed across the following categories:

CPD Category	Description	Minimum Hours
1. Professional Learning	Participation in GAICC-recognised training, webinars, or workshops focused on internal auditing, ISO/IEC 42001 awareness, AI ethics, or risk-based auditing techniques.	10
2. Practical Application	Direct participation in AIMS internal audits, corrective-action verification, audit reporting, or mentoring new internal auditors.	10
3. Contribution & Knowledge Sharing	Delivering presentations, facilitating internal workshops, or contributing to continuous-improvement or governance-ethics initiatives within the organisation.	5
4. Elective Activities	Additional professional-development or community-engagement activities that enhance technical or ethical auditing competence.	5
	Total Minimum Required:	30 CPDs over three years

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Renewal Process

To renew the **GAICC ISO/IEC 42001 Internal Auditor (LA)** certification, candidates must:

1. Submit a **Renewal Application** through the **GAICC Certification Portal** before the certification expiry date.
2. Provide **verifiable evidence of at least 30 completed CPD hours** earned during the three-year certification cycle.
3. Pay the **renewal fee** as specified in the current **GAICC Fee Schedule.**
4. Reaffirm agreement to the **GAICC Code of Professional Conduct** and the **Ethical AI Audit Principles.**

Lapsed Certifications

- If renewal requirements are not met by the expiry date, the certification status changes to **"Lapsed."**
- Lapsed professionals may **reinstate** their certification by completing all renewal steps **within 12 months of expiry.**
- After **12 months**, re-certification requires **retaking the full Lead Auditor examination.**

Audit and Verification

GAICC conducts **periodic CPD audits** to confirm the accuracy of reported learning and professional-development activities.

Candidates selected for audit must submit supporting documentation such as:
- Certificates of completion or attendance records,
- Publications, presentations, or mentoring logs, or
- Employer or client attestation letters verifying audit participation or learning activity.

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GAICC Code of Conduct and Ethics Statement

Purpose

The **Global AI Certification Council (GAICC)** upholds the highest standards of **integrity, accountability, and professional behaviour** in the certification and practice of **Artificial Intelligence Management System (AIMS)** professionals.

All GAICC-certified individuals must demonstrate **ethical leadership and responsible stewardship** in the **evaluation, assurance, and governance** of AI systems, consistent with the values of **ISO/IEC 42001** and the ethical principles defined in **ISO 19011.**

Commitment to Ethical Practice

By applying for and maintaining GAICC certification, candidates agree to:

1. **Act with Integrity and Fairness** – Conduct all professional activities honestly, without bias, conflict of interest, or misrepresentation of competence or credentials.
2. **Protect Human Rights and Wellbeing** – Ensure that AI systems under their influence respect human dignity, fairness, privacy, and non-discrimination.
3. **Ensure Transparency and Accountability** – Promote explainable and auditable AI outcomes; disclose limitations, risks, and decision criteria in plain language.
4. **Safeguard Data and Information** – Uphold confidentiality and data-protection principles consistent with ISO/IEC 42001, ISO/IEC 27701, and applicable laws.
5. **Exercise Professional Competence** – Maintain up-to-date knowledge through continual learning, professional development, and adherence to recognised standards and best practices.
6. **Report and Mitigate Misuse** – Take appropriate action when encountering unethical AI practices or violations of applicable regulations or standards.
7. **Respect Intellectual Property and Diversity of Perspectives** – Value collaboration, cultural sensitivity, and inclusiveness across disciplines and communities.

Disciplinary and Enforcement Process

Violations of this Code may result in disciplinary action, including **suspension or revocation** of GAICC certification.

Complaints or ethics concerns may be submitted confidentially to the **GAICC Ethics and Compliance Committee**, which oversees investigations, recommendations, and fair resolution procedures.

Acknowledgment

All GAICC-certified professionals are required to confirm their acceptance of the **Code of Conduct and Ethics** during application, renewal, or recertification.
Adherence to this Code reinforces global confidence in GAICC-certified practitioners and the responsible governance of Artificial Intelligence technologies.

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Frequently Asked Questions (FAQ)

GAICC ISO/IEC 42001 Lead Auditor (LA)
Examination Content Outline – October 2025
Global AI Certification Council (GAICC)

1. What is the GAICC ISO/IEC 42001 Lead Auditor certification?

It is an internationally recognised professional credential that validates an individual's competence to **plan, conduct, and report internal audits** of an organisation's **Artificial Intelligence Management System (AIMS)** in accordance with **ISO/IEC 42001: 2023** and **ISO 19011: 2018.**

The certification demonstrates a practitioner's ability to evaluate AI risk management, operational controls, ethical compliance, and continual-improvement processes from a **first-party (internal)** perspective.

2. Who should apply for this certification?

This certification is ideal for:

- Internal auditors, compliance officers, and AI-governance practitioners responsible for AIMS assurance within their own organisation.
- Quality, data, or risk managers seeking to align AI operations with ISO/IEC 42001 principles.
- Professionals preparing to progress toward **GAICC Lead Auditor** or **Lead Implementer** certifications.

3. What are the eligibility requirements?

Applicants must meet education, professional-experience, and training requirements as outlined in Section 5:

- **Education:** Diploma or higher qualification.
- **Experience:** Minimum of **1–2 years** in internal auditing, AI governance, or ISO-based management systems.
- **Training:** Completion of at least 32 contact hours (CPDs) of GAICC-approved Internal Auditor training (Modules 1–16).

4. How many questions are in the exam and how long does it take?

The examination consists of **60 questions** (55 scored + 5 unscored pre-test items). Candidates have **90 minutes (1.5 hours)** to complete the exam.

5. What is the passing score?

A minimum scaled **score of 70%** is required to pass.

6. How often can I take the exam?

Each candidate receives **one complimentary retake** within **a one-year eligibility period** if the first attempt is unsuccessful.

Additional retakes may be purchased at a discounted fee during the same eligibility window.

7. What is the certification validity period?

The Foundation certification is valid for **three (3) years** from the date of issue.

8. How do I maintain or renew my certification?

Certified professionals must complete a minimum of **30 Continuing Professional Development (CPD)** hours within each three-year cycle and submit a renewal application with the required fee.

9. What does the exam cover?

The exam assesses knowledge across **four domains:**
1. Governance, Planning, and Preparation of the AIMS Internal Audit
2. Conducting the Internal Audit and Evaluating Implementation
3. Performance Evaluation, Corrective Action, and Continual Improvement
4. Ethics, Objectivity, and Professional Conduct in Internal Auditing

10. What is the exam format?

The exam includes multiple-choice and audit-scenario–based questions.
IIt is available as **AI-proctored online testing** or **centre-based assessment** through GAICC's secure platform.

11. How much does the exam cost?

Zone	Member (USD)	Non-Member (USD)
Zone A	299	425
Zone B	249	375
Zone C	199	275

Membership is optional but provides discounted exam fees and access to GAICC learning resources.

12. How much is the membership fee?

Zone	Standard (USD)	Student (USD)
Zone A	99	39
Zone B	69	29
Zone C	49	19

13. How long does GAICC take to process an application?

Online applications are reviewed **within five (5) calendar days,** provided all training documents are complete.
If selected for audit, additional verification may extend processing time.

14. What happens if I fail to renew my certification?

If renewal requirements are not met by the expiry date, your status becomes **"Lapsed."**
You may reinstate within **12 months** by completing renewal steps; after that period, full re-examination is required.

15. Does GAICC provide digital credentials?

Yes. Upon certification, you receive a **digital badge and certificate** that can be shared on LinkedIn, résumés, and professional profiles.

16. How can I contact GAICC for support?

Global AI Certification Council (GAICC)
Level 3, 21 Putney Way, Manukau, Auckland 2104, New Zealand
✉ Email: **support@gaicc.org**
🌐 Website: ***www.gaicc.org***
📱Phone: ***+61 492 061 339/+64 21 103 6356***